

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.1	TASK: Drinking Water Plan Review Review plans for new water production, treatment, storage and distributions facilities and appurtenances and modifications to existing facilities. Review plans for swimming pools. DELIVERABLES:		
PPG PWSS Fed/ PWSS Fees	1) Issue Approval to Construct or project denials on water projects.	T = As needed	Drinking Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables are on target. 2ND QTR: All deliverables are on target. 3RD Qtr: All deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	7.60	31,145
GF (SRO)	5.00	17,923
PPG	29.40	124,433
PPG (SRO)	1.00	4,830
ST PWSS	35.00	143,395
PWSS Federal	37.00	146,446
PWSS Fees	9.00	33,910
WQFF	72.00	296,807
TOTAL	196.00	798,889

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.2	<p>TASK: Drinking Water Compliance and Enforcement</p> <p>Conduct compliance inspections of drinking water systems. Investigate and resolve complaints relating to regulated facilities. Respond to drinking water emergencies.</p> <p>Evaluate, prepare and take informal and formal enforcement actions for violations of state drinking water program statutes and rules and for violations of delegated federal SDWA statutes and rules.</p> <p>DELIVERABLES:</p>		
PPG	<p>1) Drinking water sanitary surveys, prioritized by date of last survey.</p> <p>a) Annual inspections of all PWSs served by a surface water source.</p> <p>b) Scheduled inspections of PWS served by a ground water source; inspections are on a four-year cycle.</p> <p>c) Populate SDWIS sanitary survey fields.</p>	<p>T =</p> <p>a) 56</p> <p>b) 386</p> <p>c) As needed</p>	<p>Compliance</p> <p>a) NRO = SRO = PHX =</p> <p>b) NRO = SRO = PHX =</p>
PPG	<p>2) Issue Notices of Opportunity to Correct (NOCs) and/or Notices of Violation (NOVs) and track schedules as needed.</p>	T = As needed	<p>Compliance</p> <p>NRO = As needed</p> <p>SRO = As needed</p> <p>PHX = As needed</p>
	<p>3) Drinking water complaint investigations.</p>	T = As needed	<p>Compliance</p> <p>NRO = As needed</p> <p>SRO = As needed</p> <p>PHX = As needed</p>
	<p>4) Issue Administrative Orders and track schedules, as needed.</p>	T = As needed	Compliance
	<p>5) Evaluate and respond to EPA's Significant Noncompliance/Exception List.</p> <p>a) Based on the Fixed SNC list generated on April 30, 2007, address 25% of SNC exception systems.</p> <p>b) Discuss and identify EPA/State workshare (i.e. list of specific systems) for addressing fixed SNC/Exception list commitment.</p> <p>c) Monitor and report progress on State/EPA effort on addressing the commitment.</p> <p>d) Report accomplishments for inclusion in the October 2008 national reporting.</p>	<p>T =</p> <p>a) 6/30/08</p> <p>b) 7/01/07 A = 7/01/07</p> <p>c) Mid-yr (12/31/07)</p> <p>d) End-yr (8/08)</p>	Compliance

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.2	TASK: Drinking Water Compliance and Enforcement (Cont'd) DELIVERABLES:		
	6) Hold monthly meetings and/or conference calls with EPA to discuss drinking water enforcement. Document agreement on discussed items and follow up actions. At least one call each quarter will be devoted to discussing the SNC list and the details of actions taken on each SNC. The dates for the quarterly calls devoted to SNCs are scheduled as follows to coincide with the SNC/Exception Processing Cycle: -September 2007 -December 2007 -March 2008 -June 2008	T = 12 (monthly)	Compliance
PWSS Fees	7) Written Monthly Enforcement Report to EPA which includes: a) number of Administrative Orders issued; b) number of Administrative Orders issued resulting in compliance; c) penalties (civil and administrative, assessed and collected); d) brief description of SEPs accepted; e) brief description of civil actions initiated and concluded every two quarters (twice per year); and f) actions taken on any SNCs.	T = 12 (monthly)	Compliance
PPG	8) Administer delegated water programs and provide support as needed.	T = As needed	Compliance
	9) Track compliance with revised PWSS definition for applicable facilities in Arizona in SDWIS.	T = Quarterly	Compliance

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.2	TASK: Drinking Water Compliance and Enforcement (Cont'd) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.)</p> <p>1ST QTR: All deliverables are on target. There was no activity for del. #7; with the Annual meeting, we did not have an enforcement conference call with EPA.</p> <p>2ND QTR: All deliverables on target.</p> <p>3RD Qtr: All deliverables are on target, except for deliverable 7; the enforcement report is one month behind.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF (DW)	10.00	38,320
GF (NRO)	44.68	191,331
GF (SRO)	48.76	217,838
ST PPG	14.00	58,437
ST PWSS	5.40	20,545
PPG (SRO)	2.00	9,660
PPG	12.00	34,285
GF DW ST PPG	12.00	53,135
GF SPEC AZPDES	10.00	37,062
WQFF	6.00	19,839
PWSS FEES	24.00	79,357
TOTAL	188.84	759,809

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.3	TASK: Drinking Water Technical Assistance Monies provided by SRF set-a-sides will be used to pay third-party technical assistance providers for delivering technical assistance to individual PWSs, or groups of PWSs at ADEQ's direction, or developing tools that will benefit small water systems.* Develop a WIFA/DEQ Partnership to provide technical assistance. DELIVERABLES:		
	1) Finalize Master Priority List (MPL) for system level technical assistance in conjunction with WIFA.	T = Annually A = Jan 2008	Drinking Water
PPG	2) Oversee contractor performance in completing System Evaluations (SEs) for fundable range.	T = Ongoing	Drinking Water
	3) Identify system level TA from System Evaluations (SEs) completed.	T = 30 days after SE completion	Drinking Water
	4) Use fundable range identified in MPL for system level TA after completion of all Systems Evaluations (SEs).	T = 30 days after SE	Drinking Water
PPG	5) Oversee contractor performance of system level TA for fundable range.	T = Ongoing	Drinking Water
	6) Annual report to EPA in conjunction with WIFA	T = 9/01/07 A = 9/01/07	Drinking Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables are on target. 2ND QTR: All deliverables are on target. 3RD Qtr: All deliverables are on target.			

NOTE: Third party contract by WIFA pending - \$150,000. Implementation of Capacity Development Local Assistance. WIFA will process invoices for system evaluation.

FTE FUNDING SOURCE	MONTHS	AMOUNT
PPG Contract - WIFA	1.00	4,522

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.4	TASK: Operator Certification Section 1419 of the Safe Drinking Water Act requires states to adopt and implement a program for certification and recertification of the operators of community water systems (CWSs) and non-transient, non-community water systems (NTNCWSs) that meet the minimum standards set forth in guidelines to be published by EPA. DELIVERABLES:		
	1) Conduct audits of approved third party trainer/testers.	T = Quarterly	Drinking Water
	2) Review and verify professional development hours for certification renewals.	T = Ongoing	Drinking Water
	3) Issue certificates to persons passing testing requirements.	T = Ongoing, within 7 days of receipt from third party vendors	Drinking Water
	4) Process and resolve disputes between persons and third party testers.	T = As needed, within 30 days of receipt	Drinking Water
	5) Maintain registry of certified operators.	T = Ongoing	Drinking Water
	6) Partner with capacity development workshops to educate operators.	T = 6 A = 6	Drinking Water
	7) Annual report to EPA in conjunction with WIFA	T = 9/01/07 A = 9/30/2007	Drinking Water
	8) Approve third party training entities.	T = As needed	Drinking Water
PWSS Fed	9) Implement Operator Certification Expense Reimbursement Grant (ERG) Program Workplan in conjunction with WIFA. a) Submit revised ERG workplan and budget to EPA.	T = Ongoing T = 8/31/07 A = 8/31/07	Drinking Water
	10) Maintain list of eligible operators for reimbursement to allow WIFA to provide funds.	T = Ongoing	Drinking Water
PWSS Fed	11) Operator training reimbursement program. a) Number of operators eligible for reimbursement as of 7/1/07. b) Number of operators reimbursed for training and certification to date by certification level and grade c) Number of operators reimbursed to date by system size d) Number of operators still in need and eligible for reimbursement as of 6/30/08.	T = As needed	Drinking Water

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.4	TASK: Operator Certification (Cont'd) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.</p> <p>1ST QTR: 1.1.4 (1)(4)(8): The operator certification program is reevaluating the testing and certification process. As a result, the contract allowing third-party testers has been suspended indefinitely. The Drinking Water Programs Unit is conducting testing until further notice. 1.1.4 (2): Approximately 10% of renewals are audited. 1.1.4(11b): Distribution Grade 1 = 7, Distribution Grade 2 = 9, Distribution Grade 3 = 0, Distribution Grade 4 = 1, Treatment Grade 1 = 1, Treatment Grade 2 = 1, Treatment Grade 3 = 0, Treatment Grade 4 = 3 1.1.4(11c): 22 operators for public water systems serving less than 3,300 persons. 0 operators for public water systems serving greater than or equal to 3,300 persons</p> <p>2ND QTR: Deliverable 1, 4 & 8: The operator certification program is reevaluating the testing and certification process. As a result, the contract allowing third-party testers has been suspended indefinitely. The Drinking Water Programs Unit is conducting testing until further notice. Deliverable 2: Approximately 10% of renewals are audited. Deliverable 11: a) 687 eligible for reimbursement; b) Distribution grade 1 = 14, Distribution grade 2 = 16, Distribution grade 3 = 1, Distribution grade 4 = 5, Treatment grade 1 = 11, Treatment grade 2 = 8, Treatment grade 3 = 1, Treatment grade 4 = 5; c) 61 operators for PWSs serving less than 3,300 persons. 120 operators for PWSs serving greater than or equal to 3,300 persons; d) 664 operators still need reimbursement. All other deliverables are on target.</p> <p>3RD Qtr: Deliverable 1, 4 & 8: The operator certification program is reevaluating the testing and certification process. As a result, the contract allowing third-party testers has been suspended indefinitely. The Drinking Water Programs Unit is conducting testing until further notice. Deliverable 2: Approximately 10% of renewals are audited. Deliverable 11: a) 687 eligible for reimbursement; b) Distribution grade 1 = 47, Distribution grade 2 = 32, Distribution grade 3 = 12, Distribution grade 4 = 8, Treatment grade 1 = 36, Treatment grade 2 = 26, Treatment grade 3 = 6, Treatment grade 4 = 8; c) 175 operators for PWSs serving less than 3,300 persons. 120 operators for PWSs serving greater than or equal to 3,300 persons; d) 512 operators still need reimbursement. All other deliverables are on target.</p>			

* Expense Reimbursement Grant funds deliverables 9.

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PWSS	7.00	20,681
PWSS Federal	13.20	49,418
TOTAL	20.20	70,099

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.5	<p>TASK: System Security New and Existing</p> <p>Assist Arizona public water systems in identifying the minimum amount of physical security required to adequately protect both the system's water source and infrastructure from intentional acts of vandalism and terrorism. Assist water systems in developing comprehensive Emergency Operations Plan (EOP) based on results from Vulnerability Self-Assessments.</p> <p>Develop and implement a training program to ensure that existing systems have the knowledge and awareness of security concerns related to their water system.</p> <p>Develop partnerships with organizations and other government agencies to ensure Emergency Management Planning (EMP) results in comprehensive and coordinated response to any unexpected incident experienced by Arizona water systems</p> <p>DELIVERABLES:</p>		
WPC II	1) Distribute EOP/ERP/VA templates to systems on an as needed basis, including small systems.	T = Ongoing	Drinking Water
WPC II	2) Incorporate EMP/ERP/VA topics into ongoing section capacity workshops, including small systems.	T = 6	Drinking Water
WPC II	3) Coordinate with other federal, state and local agencies' water system security activities.	T = Ongoing	Drinking Water
WPC II	4) Identify and secure materials which will enhance water systems' security and responsiveness on water security issues and disseminate this new security information to water systems in a timely manner.	T = Ongoing	Drinking Water
WPC II	5) Provide drinking water systems with timely and reliable information and improve communication and coordination capabilities between states and drinking water systems through workshops, tabletop exercises, and other educational events.	T = Quarterly	Drinking Water

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.5	TASK: System Security New and Existing (Cont'd.) DELIVERABLES:		
WPC II	6) Track enhancements presented by EPA to security efforts.	T = Ongoing	Drinking Water
PWSS Fed	7) Coordinate activities of rapid response team for water system security issues.	T = Ongoing	Drinking Water Data Mgmt.
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables are on target. Deliverable 7: The rapid response team does not exist. Information is exchanged using the WARN system. 2ND QTR: All deliverables are on target. Deliverable 7: The rapid response team does not exist. Information is exchanged using the WARN system. 3RD Qtr: All deliverables are on target. Deliverable 7: The rapid response team does not exist. Information is exchanged using the WARN system.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PWSS	6.00	20,673
PWSS Federal	6.00	19,839
Water Protection Coord. II (WPC II)	4.80	19,719
TOTAL	16.80	60,231

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.6	<p>TASK: New and Existing System Capacity Development</p> <p>Assure that new community water systems and non-transient, non-community water systems which commenced operations since October 1, 1999, can demonstrate technical, managerial and financial capacity to operate in compliance with the requirements contained in the federal regulations in effect at the time the water system begins operations.</p> <p>Refine an internal system capacity development strategy to ensure that existing systems have the technical, managerial and financial capacity to operate in compliance with the requirements contained in the federal regulations.</p> <p>Implement the WIFA/DEQ Partnership to provide Operational Technical Assistance.</p> <p>DELIVERABLES:</p>		
PWSS Fed	1) Assist all newly proposed water systems in gaining adequate capacity prior to issuance of AOC.	T = Ongoing	Drinking Water
PWSS Fed	2) Update capacity development master priority list annually for existing systems.	T = 1 A = 1	Drinking Water
PWSS Fed	3) Conduct capacity development workshops targeting water systems from the master priority list.	T = 6	Drinking Water
	4) Identify technical, managerial and financial (TMF) obstacles/challenges faced by existing systems and implement strategies to address the same.	T = Ongoing	Drinking Water
	5) Annual program evaluation report.	T = 09/30/07 A = 1/08/07	Drinking Water
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.)</p> <p>1ST QTR: 1.1.6 (5): Report is due to be completed on or about 31 OCT 2007. All other deliverables are on target.</p> <p>2ND QTR: All deliverables are on target.</p> <p>3RD Qtr: All deliverables are on target.</p>			

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.6	TASK: New and Existing System Capacity Development (Cont'd) DELIVERABLES:		

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PWSS	6.00	20,673
PWSS Federal	18.00	66,018
TOTAL	24.00	86,691

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.7	TASK: SDW Monitoring Assistance Program (MAP) Develop and implement strategies to perform compliance monitoring for systems serving fewer than 10,000 populations. Provide technical assistance, develop and update monitoring guidance documents. Initiate and issue waivers. Update vulnerability assessments. Reconcile laboratory analysis report with requested tests. Reconcile laboratory test results with individual system monitoring requirements. Assure all follow-up samples are taken. GPS points of entry, as needed. Develop rules, as needed. DELIVERABLES:		
	1) Update monitoring waivers for 2008 systems.	T = Ongoing	Drinking Water
PPG	2a) Update monitoring schedules for all groundwater MAP PWS. 2b) Update monitoring schedules for all surface water MAP PWS.	T = a) Biweekly T = b) Biweekly	Drinking Water
PPG	3) Send MAP bills.	T = 11/01/07 A = 10/31/06	Drinking Water
PWSS Fed/ PWSS Fees	4) Participate in capacity development assistance workshops.	T = 4	Drinking Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables are on target. 2ND QTR: All deliverables are on target. 3RD Qtr: All deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PWSS	8.00	32,803
PWSS Federal	9.00	29,759
PPG	12.00	39,678
PWSS Fees	3.00	9,920
MAP Fee Fund	12.00	43,372
TOTAL	44.00	155,532

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.8	<p>TASK: Implement Source Water Protection</p> <p>Identify protective strategies and assist individual water systems with the planning, development and application of measures/actions necessary to implement the Source Water Protection Program.</p> <p>a) Source Water Protection – transition from assessments to protection by providing technical assistance in planning and implementing local Source Water Protection for public water systems throughout Arizona.</p> <p>b) Source Water Assessments – perform assessments for new individual sources or new systems which voluntarily choose to participate in source water protection by delineating source water protection areas, inventorying potential sources of contamination and performing vulnerability/susceptibility analysis.</p> <p>DELIVERABLES:</p>		
WHP	1) Conduct Source Water Protection Program workshops with PWSs to facilitate transition from assessments to protection.	T = Quarterly	Drinking Water

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.8	TASK: Implement Source Water Protection (Cont'd) DELIVERABLES:		
PWSS Fed	2) Produce source water assessments (SWA) for new PWSs that volunteer to participate in Source Water Protection (SWP) and update SWA for existing systems to include new sources for PWSs that volunteer to participate in Source Water Protection. a) Collect locational data using GPS. i) New drinking water sources ii) Potential sources of contamination (chemical use/storage) within ½ mile radius of a new or existing drinking water source. b) Delineate source water assessment areas for new PWSs/sources. Make sensitivity determinations for PWSs/sources through: i) Review of occurrence data ii) Review of sanitary surveys iii) Review hydrological characterization (50 foot clay layer). c) Conduct comprehensive inventories of potential sources of contamination d) Identify any changes in trends to the previously identified most prevalent and most threatening potential sources of contamination.	T = 4	Drinking Water
PWSS Fed	3) Use new and updated SWA as part of a PWSs development of a SWP plan.	T = 4	
WHP	4) Assist community water systems to identify protection strategies and to implement those strategies.	T = 4	
WHP	5) Assist community water systems in achieving minimized risk to public health, by moving towards substantial implementation.	T = 4	

NOTE: Surface water protection activities will be paid by PWSS federal funds.

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.8	TASK: Implement Source Water Protection (Cont'd) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.)</p> <p>1ST QTR: All deliverables are on target.</p> <p>2ND QTR: All deliverables are on target.</p> <p>3RD Qtr: All deliverables are on target.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
Wellhead	42.00	176,861
PWSS FED	3.00	14,698
TOTAL	45.00	191,559

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4900: Drinking Water Regulation	
Objective #1: Ensure safe drinking water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.9	TASK: Drinking Water Monitoring and Reporting These activities will support all the monitoring and reporting requirements for public drinking water systems in accordance with state and federal drinking water rules. DELIVERABLES:		
PWSS Fees	1) Evaluate and respond to EPA’s Significant Noncompliance/Exception List. a) Confirm true SNCs/exceptions and annotate list (within 30 days of final quarterly SNC/Exception List).	T = As needed	Drinking Water
PPG	2) Complete SDWIS reporting for OECA on actions taken on new SNCs and exceptions.	T = Quarterly	Drinking Water
	3) Run monitoring/reporting rules in SDWIS, validate violations and ensure proper public notification is issued.	T = Quarterly	Drinking Water
	4) Hold regular meetings and/or conference calls with EPA to discuss PWSS issues. Document agreement on discussed items and follow up actions.	T = 12 (Monthly)	Drinking Water
PWSS Fed	5) Complete identification of Groundwater Under the Influence (GUDI) systems. Discuss status of GUDI actions taken during monthly calls; track percentage of suspect sources for which determinations have been made.	T = As needed	Drinking Water
PWSS Fed	6) Review and provide comment on EPA’s Annual Compliance Report.	T = 06/08	Drinking Water
PWSS Fed	7) Respond to/resolve significant issues identified and agreed upon as a result of the February 2007 data verification exercise.	T = 6/30/08	Drinking Water

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.9	TASK: Drinking Water Monitoring and Reporting (Cont'd) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.)</p> <p>1ST QTR: All deliverables are on target.</p> <p>2ND QTR: All deliverables are on target.</p> <p>3RD Qtr: All deliverables are on target.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PWSS	25.26	108,452
PPG	12.50	42,711
PWSS Federal	60.24	227,956
PWSS Fees	3.00	11,972
TOTAL	101.00	391,091

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4400: Underground Water Regulation Program #4500: Surface Water Regulation	
Objective #2: Reduce pollutant loading to groundwater.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.1	<p>TASK: Groundwater Protection – Permits, Compliance and Enforcement</p> <p>Carryout statutory requirements of Arizona’s pesticide and groundwater protection programs, reclaimed water and subdivisions. Maintain compliance with these programs through effective permitting and inspection activities in accordance with Department practice and policies.</p> <p>Evaluate, prepare and take informal and formal enforcement actions for violations of state water quality statutes, rules and permit conditions.</p> <p>DELIVERABLES:</p>		
	1) Drywell registrations: a) Register existing and new dry wells (Class V injection wells). b) Evaluate wells to determine potential impact from waste disposal activities in drainage area to assess need for APP. c) Maintain drywell database.	T = 100-200/monthly	Groundwater
PPG	2) Continue to communicate with EPA on groundwater related issues.	T = Ongoing	Groundwater
NPS IX	3) Report on Notices of Transfer (NOT) processed for septic systems.	T = Quarterly	Groundwater
PPG	4) Perform scheduled inspections of those facilities possessing an individual APP or GWPP permit.	T = 93	Compliance NRO = 32 SRO = 18 PHX = 43
PPG	5) Perform scheduled inspections of those facilities possessing a reuse permit.	T = 30	Compliance NRO = 5 SRO = 5 PHX = 20
PPG	6) Perform compliance inspections of those facilities that require an APP but have not been issued one.	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed
PPG	7) Perform scheduled compliance inspections of those facilities that have been issued an APP general permit.	T = 58	Compliance NRO = 18 SRO = 20 PHX = 20

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4400: Underground Water Regulation	
Objective #2: Reduce pollutant loading to groundwater.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.1	TASK: Groundwater Protection – Permits, Compliance and Enforcement (Cont'd) DELIVERABLES:		
	8) Issue Notices of Opportunity to Correct (NOCs) and/or Notices of Violation (NOVs) and monitor corrective actions taken to return to compliance.	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed
	9) Complaint investigations will be conducted according to Agency complaint investigation protocols and guidelines.	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed
	10) Compare and review facility information provided on Self-Monitoring Report Forms with state water quality protection database information (WCET)	T = 200	Compliance
PPG	11) Issue Administrative Orders and track schedules.	T = As needed	Compliance
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If “Off Target”, please explain why.</p> <p>1ST QTR: Groundwater: All deliverables are on target. Compliance: All deliverables are on target</p> <p>2ND QTR: Groundwater: All deliverables on target. Deliverable #1: 163 drywell registrations received and 800 registered. Compliance: All deliverables on target.</p> <p>3RD Qtr: Groundwater: All deliverables on target. Deliverable #1: received 186, registered 807. Deliverable #2: Met with EPA to do coordination with UIC (Underground Injection Control) program. Compliance: All deliverables on target.</p>			

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4400: Underground Water Regulation	
Objective #2: Reduce pollutant loading to groundwater.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.1	TASK: Groundwater Protection – Permits, Compliance and Enforcement (Cont'd) DELIVERABLES:		

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	110.92	426,309
GF (NRO	22.28	98,174
GF (SRO)	15.12	60,662
ST PPG	10.00	25,946
GF SPEC AZPDES	16.00	56,901
GF SPEC AZPDES ST PPG	19.00	73,207
GF APP	146.04	580,989
WQFF (SRO)	13.44	58,587
WQFF	467.16	1,841,533
NPS IX	12.00	39,678
PPG	55.52	208,874
PPG (SRO)	4.08	19,233
TOTAL	891.56	3,490,093

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #2: Reduce pollutant loading to groundwater.		Program # 4400: Underground Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.2	TASK: Groundwater Monitoring Carry out state and federal mandates to monitor and report on groundwater quality conditions and contamination problems statewide. DELIVERABLES:		
	1) Complete groundwater basins reports for: a) Hualapai GW Basin b) Pinal AMA GW Basin	T = a) 12/07 A = 12/07 b) 6/08	Surface Water
PPG	2) Input/retrieve groundwater quality data into the GWQDB.	T = 12,000 records/year	Surface Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All Deliverables are on-target. Deliverable #2: 2,431 records entered and 28 requests for data. 2ND QTR: All Deliverables are on-target. Deliverable #2: 1,132 records entered and 16 requests for data in the 2 nd quarter. 3RD Qtr: All deliverables are on-target. Deliverable #2: 672 records entered and 24 requests for data in the 3rd quarter.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
WQARF	8.00	34,794
PPG	12.00	36,134
TOTAL	20.00	70,928

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.1	TASK: Arizona Pollutant Discharge Elimination System (AZPDES) Carry out federal and state statutory requirements of Arizona National Pollutant Discharge Elimination System program. DELIVERABLES:		
PPG	1) Process AZPDES permit actions including issuance, modifications, denial, suspension, or revocation. Report status of permit processing quarterly.	T = Quarterly	Surface Water
PPG	2) Process permit renewals for all expiring AZPDES permits with a goal to minimize 'backlog.' Report status of permit renewals quarterly.	T = Quarterly	Surface Water
PPG	3) Issue general AZPDES permit for stormwater discharges associated with industrial activity.	T = 6/30/08	Surface Water
	4) Issue six individual phase I MS4 permits.	T = 6/30/08	Surface Water
	5) Prepare state certification letters for EPA drafted permits under §401 (a) (2).	T = As needed	Surface Water
	6) Process NOIs, NOTs, waivers, for AZPDES general permits including review of SWPPPs for discharges to unique or impaired waters.	T = Ongoing	Surface Water
	7) Develop general permit for sludge-only facilities.	T = 6/30/08	Surface Water
	8) Complete initial review of all SWMPs submitted by small MS4s.	T = 6/30/08	Surface Water
	9) Reissue AZPDES Construction General Permit	T = 2/28/08	Surface Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: N/A on Del. #5; all other deliverables are on target. 2ND QTR: Deliverables 1 & 2 on target. 24 individual AZPDES permits were issued during the first half of the year. Backlog has been reduced to 8 permits. Deliverables #3-6; on-target. Deliverable #7; off-target. Moved to lower priority due to staff constraints and other permitting requirement priorities. Deliverables #8 and 9; on-target. 3RD Qtr: Deliverables #1, 2, 5, 6, 8 and 9; on target. Seven individual AZPDES permits were issued during the third quarter of the year. 9 permits are backlogged (see backlog table which follows.) Of the 9 backlogged permits: 2 will be issued in 4/08 (Kyrene Generating Station and Flagstaff Meadows), 1(Resolution Copper) will be issued in May, 2008, and 1 (Pinto Valley) is expected to be issued in 6/08. Deliverables #3 is off-target; project delayed awaiting EPA's MSGP. However ADEQ will initiate process in summer 2008. Deliverable #4; off target, 2 individual MS4 permits are in process. Deliverable #7; off-target moved to lower priority due to staff constraints and other permitting priorities.			

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.1	TASK: Arizona Pollutant Discharge Elimination System (AZPDES) (Cont'd) DELIVERABLES:		

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF/AZPDES	35.76	134,309
GF SPEC AZPDES	128.00	483,726
ST SPEC AZPDES PPG	24.00	66,726
PPG AZPDES	53.54	182,142
TOTAL	241.30	866,903

FY08 3RD QUARTER EXCEPTION REPORT

AZPDES Project Status- 3/31/08

AZPDES Renewal Permits for 1/31/08-3/31/08

Permittee	AZPDE S # AZ- ---	Type	Permit Exp date	Date appl. Due	Date App Rec	LTF Number	Proj Mgr	
APS- Cholla Power Plant	23311	Min	8/12/2003	rec. 2/12/03		NA	DD	Awaiting Lake Mgt. Study plan. Def. Ltr. Sent 9/29/03. On hold for triannual review.
Arizona City Sanitary District	24244	Maj	12/29/2006	6/29/2006	7/21/2006	41244	JB	Adm def sent 8/24/06. Ext rev- 2/26/07. Public Notice 6/8/07 Signed 9/26/07; Eff 11/1/07; Exp 11/1/12.
Asarco Mission	24597	Min	4/1/2008	10/1/2007	9/28/2007	45883	MG	Adm Def Ltr sent 11/6/07. Response rec'd 12/19/07, application is administratively complete. Substantive Def ltr sent 2/4/08.
Asarco Ray	35	Maj	5/19/2008	11/19/2007	11/23/2007	46274	MG	Adm Def Ltr 12/24/07. Site visit 2/7/08. Sub. Def Ltr 2/27/08.
Avondale	23281	Maj	12/31/2007	6/30/2007	7/6/2007	44938	SF	Adm Def letter sent 8/10/07 208 refview received 1/30/08. Substantive Def ltr sent 2/5/08. Response received 3/13/08. External Rev 4/7/08.
Bensch Ranch	AZ002481 3	Min	8/25/2007	2/14/2007	2/15/2007	43322	JM	Adm Def ltr sent 2/27/07. Response received 5/4/07 PN Signed 9/13/07; Eff 10/10/07; Exp 10/18/12
Canyon Lake Marina	21440	Min	11/10/2006	5/10/2006	10/9/2006	42100	RA	1/16/07: Comprehensive def. letter sent. 2/8/07-Incomplete response received. 2/14/07 Incomplete response letter sent to facility. External Review: 12/17/07. Public Notice 2/28/08 Routed for Signature 3/31/08 Signed and 4/4/08; Exp 4/4/13
Casa Grande	25178	Maj	12/29/2009	6/29/2009	1/18/2008	46631	SM	Adm Def Ltr sent 1/30/08. Response rec 2/14/08. External rev. 3/17/08.
Central &Camelback WQARF Site	AZ002484 8	min	8/14/2007	3/6/2007	3/6/2007	43521	JB	Substantive Def ltr sent 9/1/07: LTF suspended for variance request 10/1/07: Variance Request sent to EPA on 10/3/07. Preliminary variance approval received 11/19/07. External review 11/30/07. Public Notice 1/3/08. Signed and eff 2/20/08; Expires 2/20/13
Entrada del Oro	24899	min	6/28/2007	12/28/2006	11/6/2006	42423	SF	Response to Adm Def received 3/6/07. 208 complete 6/7/07 Public Notice 7/5/07 Signed 8/15/07; eff 8/15/07; Exp 8/15/13
Estates at Pine Canyon	AZ002490 2	min	12/19/2007	6/19/2007	6/20/2007	44807	JH	Adm def sent 7/9/07. 10/4/07 2 week external review. Public Notice 11/8/07 Signed 12/19/07; Eff 1/23/08: Exp 1/23/12

FY08 3RD QUARTER EXCEPTION REPORT

Flagstaff Meadows	24708	Min	6/9/2006	12/13/2005	12/9/2005	38565	JPM	Admin def. ltr. sent 1/20/06 and received response on 3/7/06; 2 nd Admin def ltr sent for 208 issue only and received no response (LTF clock stopped). Admin review over. 1/5/07: Substan def ltr sent telling them they need to request a variance for nitrogen & phosphorus. 6/4/07: Response due 7/20/07. 8/31/07 Rec'd 2nd Variance request. Preliminary approval of variance 11/16/07. External review 1/23/08. Public Notice 2/28/08 Next action: Respond to comments and route for signature.
Gamble Quail	AZ0023965 AZ0025604	min	12/31/2006	6/30/2006	5/18/07	44347	JM	6/27/07: Admin def ltr sent Response to adm def received 8/1/07. Issued PN to deny 9/19/07. Denied 12/4/07
Globe, City of	20249	Maj	1/29/2007	7/29/2006	7/31/2006	41281	JM	Adm Def Ltr sent 9/1/06. Response rec 9/22/06 . Signed and eff 7/12/07, expires 7/12/10
Houston Creek Landing	25305	min	5/26/2007	11/26/2007	11/30/2007	46278	RA	Adm Def ltr sent 1/3/08. Preliminary variance received 3/28/08. External review 3/31/08.
Jerome	21804	min	10/6/2007	4/5/2007	4/6/2007	43899	JM	Admin Def Ltr sent 4/30/07. Response Received XXX . Incomplete response letter sent 7/17/07. Ext Rev 8/20/07. Public Notice 9/14/07. Signed 10/23/07; eff 10/23/07; exp 10/23/12
Katherine Landing	23523	min	9/30/2008	3/30/2008	2/15/2008	46897	RC	Adm Def Ltr sent 2/29/08. External Rev 4/7/08.
Mountain Pass	24775	Min	1/11/2007	7/1/2006	6/21/2006	40767	LW	Adm Rev Def 8/9/06 Response 8/17/06 208 complete 10/27/06. Sub def ltr sent 5/25/07 complete 6/26/07. External Rev: 8/20/07. Public Notice ??? Signed 12/06/04; Effec 1/10/08; Exp 1/10/13
Nogales WWTP	25607	Maj	7/1/2006	12/31/2005	3/27/2006	39800	DN	AKA AZ0020150 Adm Def ltr sent Contractor project Substantive requests submitted 9/15/06. Public Noticed 6/22/07. Public Notice extended 7/20/07 to 9/20/07. Signed 11/19/07; Eff 12/24/07 Exp 12/24/12
One Camelback	23868	Min	12/2/2006	6/02/06	9/18/2006	41889	JM	Application complete – no Admin def letter needed or sent. Response to 1/22/07 Substan Def Ltr due 5/23/07. received 5/18/07. External review 7/3/07. Public Notice 9/6/07 Signed 11/16/07; Eff 12/21/07; Exp 12/21/12

FY08 3RD QUARTER EXCEPTION REPORT

Oracle Sanitary District	20681	Min	6/16/2007	Due 12/16/06 /	12/28/2006	43168	JB	Adm Def sent 02/06/07 External Review sent 5/14/07. Signed 9/11/07; Eff 9/11/07; Exp 9/11/12
Palo Verde Utilities I	25071	Maj	11/17/2008	5/17/2008	11/2/2007	46128	MG	Adm Def sent 11/29/07. Response received 12/21/07, Incomplete Response letter sent 12/27/07 - Selenium Evaluation received 2/4/08. Substantive Def sent 3/7/08. On hold for variance.
Phoenix, Cave Creek	24465	Maj	1/23/2005	7/20/2004	3/16/2006	33524	DN IC JB	Ext. rev. 9/7/05 for 30 days. Responding to comments PN Oct 26, 2006 Routing for signature Dec 26, 2006 Signature process suspended until further meetings. Next Action: receive compliance schedule proposal from City of Phoenix.
Phoenix Val Vista WTP	23442	Maj	10/19/2007	Due 4/29/07	5/3/2007	44197	JH	Preliminary draft permit and fact sheet written. Administrative deficiency letter sent-waiting on mixing zone application. Mixing zone application received 8/28/07. Public Notice 1/3/08 Signed 2/12/08; Eff 3/18/08; Exp 3/18/13
Phoenix 24th St WTP	23426		1/16/2008	7/16/2007	7/20/2007	45118	SF	Preliminary draft permit and fact sheet written. Administrative deficiency letter sent-waiting on mixing zone application. Mixing zone application received 8/28/07. PN 3/13/08
Phoenix Deer Valley WTP	23434	Maj	11/28/2007	Due 06/2/07	6/1/2007	44560	JH	Administrative deficiency letter sent-waiting on mixing zone application, signature and correction of AZPDES Permit No. on application. Mixing zone application received 8/28/07. PN 1/31/08 Signed 3/13/08; Eff 4/17/08; Exp 4/17/13
Pima County-Avra valley WWTP		Maj	8/22/2008	2/22/2008	12/14/2007	46366	EED	Adm Def letter sent 12/27/07
Pinto Valley BHP	AZ0020401	Maj	8/25/2007	12/4/2006	249	42698	IC SF	Adm Def letter sent 1/4/07 External Rev 7/17/07. Changed application. Revised application submitted Oct 08. Second external review 3/13/08.
Phoenix, 91 st St. Ave	20524	Maj	12/31/03	6/31/03	7/7/2003	30385	WM DD	Ext.r Rev 1/06. Responding to comments. PN 12/07/06 ON hold due to comments received from GRIC
Phelps Dodge-Bagdad	22268	Maj	12/4/2006	Due 6/4/06	6/21/2006	40757	IC	External review 1/31/07. Meeting w/ Bagdad to discuss issues and extension of LTF Extend LTF and schedule second meeting before PN. Signed 12/28/07; Eff 02/1/08; Exp 2/1/13

FY08 3RD QUARTER EXCEPTION REPORT

Phelps Dodge-Iron King	24546	Maj	12/13/2006	6/13/2006	6/13/2006	40662	IC	Adm Def Ltr sent 6/16/06; Response Rec 6/26/06. Permit with compliance schedule sent to EPA for review 10/3/07. External Review 10/ /07. Public Notice 12/19/07. Signed 2/27/08 ;eff 4/2/08; Esp 4/2/13
Pine Meadows	24783	min	3/8/2007	Due 09/07/06	8/7/2006	41347	DN JM	Admin def letter 9/21/06 and a response was received 11/7/06. Substantive Def 2/16/07 Response to Substan Def Ltr asking for variances for phosphorus and nitrogen due 5/17/07. Incomplete Response ltr sent citing EPA web page and 20 forms needed. Response due 7/20/07. Rec'd Worksheets and sent to EPA for review 9/28/07. On hold for TMDL issues
Queen Valley Sanitary District	22071	min	12/19/2007	Due 6/19/07 Received	6/21/2007		SF	withdrew
Resolution Copper Superior	20389	Maj	11/7/2005	Due 5/7/05	4/28/2005	36217	IC JB	adm def ltr 5/18/05 w 90 day resp. time. To ext. rev. 1/17/06. PN May 31, 2006 New data received through PN waiting for revised application. Def Letter for new application 2/20/07 and received response. Sent an Incomplete Response Ltr on 6/6/07. Wait for response and schedule a meeting to discuss permit difficulties. Applicant requested removal of Outfall 002. Permit is being revised for stormwater discharges only. Next Action: Second Public Notice 3/18/08.
Safford	24911	Maj	5/12/2008	11/12/2007	11/13/2007	46154	SM	Adm Def sent 11/28/07. External Rev 2/21/08 PN 3/26/08.
Phoenix – Rio Salado	24554	min	2/16/2007	Mod/ 3/15/06	3/15/06	39613	RA	Admin rev. 11/2/06- Comprehensive def. ltr. Sent 11/20/06-received incomplete response. 12/4/06-Sent incomp. resp. ltr. 12/14/06-met with city and consultants. 1/16/07-City submitted incomplete data. 2/06/07-Sent comments to city. 3/9/07-Received revised spreadsheet 3/9/07-Sent email to city and consultant that response is incomplete. 6/11/07-Draft permit sent to applicant and other interested parties for review and comment. Response is due 7/9/07. 6/25/07: Public notice 8/23/07. Signed 11/20/07; Eff 12/26/07; Exp 12/26/12

FY08 3RD QUARTER EXCEPTION REPORT

Roosevelt Lake	23787	min	9/4/2006	3/4/2006	3/15/2006	39614	RA	Admin rev. Ext Rev 10/16/06 EPA needs financial info to approve variance. 6/20/07 – Emailed Variance Docs to EPA for review. Public Notice 10/3/07. Signed 12/18/07; eff 12/18/07; Exp 12/18/12
Saddlebrooke WWTP	22853	M	9/29/2005	Due 3/29/05	3/22/2005	35884	ILC	Next action To external rev. 9/21/05. To pn 11/4/05. 15 DAY EXT. NOTICED ON 12/6/05. 3rd PN sent 3/20/07. Response to PN comments written and meeting with owner May 5/19/07. SIGNED 9/4/07; Eff 10/9/07; Expires 10/9/12
SRP- Kyrene Generating Station	23540	M	8/27/2007	Due 2/77/07	3/1/2007	43519	RA JM	Sub def ltr 10/29/07. External rev 1/31/08. PN 2/28/08. Next Action: respond to comments and route for signature.
SRP Santan	23558	Maj	5/31/2007	11/29/2006	11/29/2007	42779	RA Contr act	Adm Rev (contract) Ext Rev 12/27/06 3/19/07-Email sent to facility requesting additional info. Public Notice 10/4/07. Signed 11/9/07; Eff 12/14/07; Exp 12/14/12
SRP- K-7	24791	M	1/17/2007	7/17/2006		41103	RA/ Contr act	Ext Rev end of Dec 06 2/16/07: met with SRP and went over their comments. 6/25/07 Public Notice on 7/5/07 Signed 8/24/07; Eff 9/28/07; Exp 9/28/12
Sundance	AZ002488 1	M	2/27/2008	8/27/2007	8/27/2007	45374	SF	Adm Def ltr 9/7/07. Preliminary Review 1/11/08. Substantive Def 3/13/08. External Review 1/11/08. On hold for stormwater issue. PN in 4/08
Tempe Kyrene WRP	23248	M	8/15/2008	2/15/2008	2/14/2008	46896		Adm Def Letter sent 3/12/08.
Town of Superior WWTP	AZ002119 9	Minor	1/11/07		1/11/2007	43059	JM	Admin Def Ltr sent 2/7/07 and response w/ WET re-test received 6/13/07. External Review 8/7 - 9/7/07. PN 9/26 - 10/29/07. Signed 11/06/07
Tucson Electric Company- North Loop Generating Station	24821	min	9/20/2007	3/20/2007	3/20/2007	43715	RA/J M	Requested additional sampling data. On hold till the facility is able to get samples once it is operational again this spring/summer
Phase I MS4s Phoenix Glendale Scottsdale ADOT Tempe Mesa Tucson Pima County					N/A			All expired & administratively continued. Working on draft for Phoenix. Phoenix Draft sent to EPA 6/1/06. Responding to comments and drafting Fact Sheet. Phoenix draft permit and Fact sheet revised and sent to permittee 03/07. Draft permit and fact sheet for ADOT MS4 sent to permittee 03/07. Next Action: receive comments from permittees.

FY08 3RD QUARTER EXCEPTION REPORT

Backlogged Permits		
The following 9 permits from the renewal permits table above are more than 6 months past their expiration date		
BHP Pinto Valley	Second preliminary review ends 4/15/08	Changed application. Public Notice expected 5/08 with issuance in 6/08.
Cholla		Has been on hold waiting for the triennial review.
City of Phoenix Cave Creek	Out of Public Notice	On hold while TRE completed and resolution of action related to toxicity
City of Phoenix 91 st Ave	Out of Public Notice	On hold since PN in Dec 06. Gila River Indian community comments identified a boundary controversy affecting ADEQ's authority to issue the permit.
Flagstaff Meadows	Out of Public Notice	Delayed due to variance. Expect to issue 4/08.
Kyrene Generating Station	Out of Public Notice	Responding to comments. Expect to issue 4/08
Pine Meadows WWTP	Ready for preliminary review	TMDL issues need to be resolved.
Resolution Copper	Comments on Public Notice indicated additional treatment was needed. New application required. Revised permit in Public Notice.	Applicant amended application & eliminated mine dewatering discharge. Public Notice to end in 4/08. Expect to issue in May 08.
Tucson Electric North Loop	Preliminary review complete	Additional sampling required. Plant only operates in summer so sampling could not be done immediately.

New AZPDES Permit Applications 1/31/08-3/31/08

Applicant	NPDES No. AZ-- -----	Type	Date Received	LTF DATE	Proj Mgr	Permit Status
Amaranth, Section 23 WRF, City of Goodyear	25721	min 0.6 mgd	10/16/2007	45884	SM	Adm def ltr 11/2/07. On hold for 208 amendment. External review 3/21/08, but 208 still not complete.
Anthem Water line (City of Phoenix)	25615	min	5/1/2006	40181	LW	<i>Subst Def ltr. 4/10/07. Withdrawn 9/18/07</i>
Atterbury Wash, now called Lincoln Park	24392	Min	New	N/A (old)	WM	Next action: Response to comments 6/11/04 Tucson Water requested a meeting with ADEQ to discuss issues. <i>Meeting held 9/23/04; has been inactive since.</i>
Balterra	25585	Min	4/28/2006	39602	SF	Adm Def Ltr sent 4/28/06 208 inconsistent. External review Oct/9/07. Public Notice 11/8/07 Signed 12/12/07; Eff 12/12/07; Exp 12/12/12
Buckeye, Palo Verde Road	25500	Maj	12/15/2005	38677	MP-LW	Admin review sent 11/1/05- response due Feb 1, 06. Ext Rev 5/26/06 on prelim.draft (MP). Subst. Def. ltr. 4/5/07; complete 5/25/07. PN 6/7/07. LTF extension signed. Signed 9/19/07; Eff 10/24/07; Exp 10/24/12
Buckeye Sun Valley South	25691	Maj	12/5/2007	43084	SF	Ext rev 7/7/07 Public Notice 8/7/07 Signed 9/11/07; Eff 9/11/07; Expired 9/11/12
Cave Creek, Town of	25763 aka 24074	Min	11/30/2007	46284	RC	Admin def. ltr. sent on 12/12/2007 response received on 12/19/2007. Did not need 208 review. EXT Review 1/22/08; PN 3/6/08 Routed for signature 4/8/08.
Garney Construction (Buckeye WWTP Expansiaon)	25551	Min		39072	LW	Response to adm def 4/13/06. Subst Def. ltr. 10/12/06; complete 11/21/06. Site visit 2/10/07. Public Notice Jan 08. Signed 3/26/08; eff 4/30/08; Exp 3/26/13
Eden Organics	25496	MIN	12/12/2005	38620	DN	Next Action: Response to Adm Def 6/5/06. Sub Def ltr sent 11/24/06. Inc response ltr sent 2/20/07. Response received. Next Action: Draft permit

FY08 3RD QUARTER EXCEPTION REPORT

Glen Canyon Dam USDI BOR	25666	min	11/22/2006	42566	RA	For sump discharge. PN 10/3/07. Signed 11/7/07; Eff 11/7/07; Exp 11/7/11
Hassayampa Ranch RF	254553	maj	10/13/2005	37904	MP -JM	Admin def. ltr 11/1/05 includes 208 issues. External Review 3/11/08. PN
JRC Goodyear	25747	min	11/7/2007	46121	JM	Was AZ0000108. External review 1/9/08. Signed 3/14/08; eff 3/14/08; Exp 3/14/13
Mogollon Domestic Wastewater Improvement District	25739	min	11/16/2007	46149	RC	Admin Def Ltr sent 11/28/07. 208 review received 2/4/08. PN 2/29/08. Signed 4/4/08; Eff 4/4/08; Exp 4/4/13
NIBW Superfund Remediation	24240 25178	min	5/9/2003	N/A	MP	To PN 7/15/04 Reviewing final comments/issues before routing for signature.
Palm Valley	25712	maj	10/1/2007	45829	RC	Admin Def Ltr sent 10/18/07 - Waiting for response and 208 determinations. Ext Review 3/24/08
PCL Construction UP01 Expansion	25674	min	12/1/2006	42796	JH	Response to Admin Def 2/2/07. PN 8/24/07 Signed 10/5/07; Eff 10/5/07; Expres 10/5/12
Pecan WRP	25445	Maj			jpm	Admin Rev – 10/26/05 Admin Def Ltr sent but still held up for 208 issues. External review 8/3 - 9/4/07. Public Notice 9/13 - 10/15/07. Signed 10/25/07; Eff 10/25/07; Exp 10/25/12
Phoenix Zoo	25488	Min	12/7/2005		JPM	Next action: Admin Rev Quarterly reports coming in on sewer hook-up progress.
Pima County- Agua Caliente Park	25330	Min	10/5/2004	34174	LW	SR response ltr. 11/16/05. resp. by 1/15/05 3/30/06 2nd Substantive rev def. ltr. Sent External Review 12/12/06; PN 4/4/07. Signed and eff 8/1/2007. Expires 8/1/2012
Sahuarita WWTP	25682	Maj	12/11/2006	42813	JM	Adm Def ltr sent 1/5/07 and 208 issue still outstanding.
Tempe Town Lake ** carryover	24490		7/14/1999	N/A	DN -LW	*EPA drafted & to ADEQ for review/ AZPDES revision. Modifications received from applicant. To RA 11/16/05.
City of Williams- New Plant	25755		10/31/2007	46115	JM	Adm Def 11/28/07. PN 2/28/08 Signature expected 4/08
Yakima	25526	Min	Rec 1/31/05??	0 days	DN JB	Comp. Request for Add. Info. 1/23/06. Continue w/ writing permit Next Action: External Review
Weaver Mountain WWTP	25631	new	9/1/2006	41669	JB JB	On hold for 208

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.2	TASK: CWA 401 Certification of CWA 404 Permits, NEPA Document Review & Other Individual Certifications Conduct CWA §401 Certification program to ensure surface water quality standards are met. DELIVERABLES:		
PPG	1) Review and issue CWA §401 certification for ACOE 404 Nation-Wide Regional General Permit.	T = As needed Complete	Surface Water
PPG	2) Review and issue CWA §401 certification for ACOE 404 individual Permits	T = As needed	Surface Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: Deliverable #1 is on-target. Deliverable #2: 14 completed. 2ND QTR: Deliverable #1 is completed and can be removed from work list until 2012. Deliverable #2 is on target. 3RD Qtr: All deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
PPG	12.00	45,980

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #'s: 4500: Surface Water Regulation 4400: Underground Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.3	<p>TASK: Surface Water Compliance and Enforcement</p> <p>Conduct site inspections of known regulated facilities. All inspections will yield a determination of compliance as required by the permit and applicable rule. Field notes and photographs will support findings and conclusions identified in final inspection report. Inspections will also include random field sampling as necessary to ensure compliance with discharge limits.</p> <p>Evaluate, prepare and take informal and formal enforcement actions for violations of water quality statutes, rules and permit conditions and federal CWA statutes, rules and permit conditions.</p> <p>DELIVERABLES:</p>		
PPG	1) Perform compliance inspections of point source facilities. Inspect annually all major NPDES facilities that are operational. NPDES inspection reports will encompass applicable activities as described by Section 3-7 of the NPDES Compliance Inspection Manual (EPA 300 – B-94-014)	T = 64	Compliance NRO = SRO = PHX =
PPG	2) Perform scheduled compliance inspections of NPDES minor point source facilities. Inspect all minor NPDES facilities on a four-year cycle. NPDES inspection reports will encompass applicable activities as described by Section 3-7 of the NPDES Compliance Inspection Manual (EPA 300-B-94-014).	T = 27	Compliance NRO = SRO = PHX =
	3) Target watersheds or sectors in each of the following priority watershed areas and conduct inspections of permitted and non-permitted sites: Middle Gila and Salt River.	T = Determined during quarterly status meetings	Compliance NRO = As needed SRO = As needed PHX = As needed

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #'s: 4500: Surface Water Regulation 4400: Underground Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.3	TASK: Surface Water Compliance and Enforcement (Cont.d) DELIVERABLES:		
PPG	4) a) Conduct inspections of stormwater facilities subject to general permitting requirements. i) Industrial ii) Construction b) Report number and percent of facilities inspected that are covered under the general construction and industrial stormwater AZPDES permits. c) Conduct audit of MS4 facilities	i) T = 74 ii) T = 76 b) T = Annually c) T = 1	Compliance NRO = 17 SRO = 17 PHX = 40 NRO = 18 SRO = 18 PHX = 40 PHX = As needed
	5) Perform inspections of CAFO/AFO facilities (permitted and unpermitted).	T = 40	Compliance PHX = 40
	6) Perform compliance inspections of biosolids facilities (wastewater treatment plants, land application sites, composting facilities). Review annual reports submitted under biosolids rule.	T = 24	Compliance PHX = 24
	7) Provide outreach assistance in biosolids	T = As needed	Compliance
PPG	8) Perform pretreatment audits or inspections. *(1) a) Pretreatment audit for the City of Tolleson b) Review of City of Yuma’s draft local limits analysis. c) Review pretreatment implementation in the Prescott area. Specifically, inspect the Town of Prescott Valley and the City of Prescott for the presence of categorical dischargers and the need for pretreatment programs. d) Pretreatment audit for the City of Chandler. e) Pretreatment audit for the City of Mesa.	T = 6/30/08	Compliance
	9) Investigate complaints relating to Clean Water Act.	T = As needed	
	10) Compare and review facility information provided on discharge monitoring report forms with state water quality protection database information (WCET)	T = 200	Compliance

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #'s: 4500: Surface Water Regulation 4400: Underground Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.3	TASK: Surface Water Compliance and Enforcement (Cont'd) DELIVERABLES:		
PPG	11) Enter discharge monitoring report data into PCS within 20 days of receipt of the discharge monitoring report (DMR).	T = As needed	Compliance
PPG	12) Enter NPDES information into PCS within 45 days of all AZPDES inspections (major, minor, biosolids, CAFOs and stormwater).	T = As needed	Compliance
PPG	13) Perform quarterly quality control of PCS data to identify missing or incorrect data.	T = 4	Compliance
PPG	14) Prepare a quarterly enforcement report to EPA which includes all informal and formal actions for AZPDES facilities. Respond to EPA’s watch list within 21 days of receipt. Schedule: - 5/28/07 - 9/03/07 - 12/03/07 - 3/03/08	T = Quarterly	Compliance
PPG	15) Maintain periodic data transfers from WCET to PCS.	T = As scheduled	Compliance
PPG	16) Coordinate with EPA R9 the input of minor source NPDES compliance information into WCET and produce a QNVR report.	T = Quarterly	Compliance
PPG	17) Issue Administrative Orders and track schedules.	T = As needed	Compliance
PPG	18) Hold meetings and/or conference calls with EPA to discuss timely and appropriate CWA enforcement.*(2) Document agreement on discussed items on follow up actions. Provide list of cases monthly. One call each quarter will be devoted to discussing the QNCR/QNVR list and the details of actions taken on each SNC on the QNVR list.	T=12	Compliance

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #'s: 4500: Surface Water Regulation 4400: Underground Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.3	TASK: Surface Water Compliance and Enforcement (Cont'd) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.)</p> <p>1ST QTR: All deliverables are on target.</p> <p>2ND QTR: All deliverables are on target.</p> <p>3RD Qtr: All deliverables are on target, with the exception of deliverable 14; the Enforcement report to EPA is one month behind.</p>			

FOOTNOTES:

*(1) These deliverables to be performed using EPA contractor support.

*(2) EPA's policy defines "timely" enforcement as formal enforcement taken against a facility in significant non-compliance ("SNC") within 5 months of that facility's first appearance on a Quarterly Non-Compliance Report ("QNCR"). Formal enforcement is defined by EPA as an administrative order or civil action that requires the facility to return to compliance. EPA will consider taking formal enforcement action against SNC facilities if ADEQ does not issue a timely formal enforcement action.

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	13.96	59,098
GF (NRO)	23.76	100,926
GF (SRO)	17.70	72,062
ST PPG	6.80	20,056
GF/AZPDES	14.38	58,248
GF/AZPDES (SRO)	13.08	58,554
GF/AZPDES (NRO)	25.08	109,092
GF/AZPDES ST PPG	15.20	45,474
GF SPEC AZPDES	28.00	104,493
GF SPEC AZPDES ST PPG	6.00	24,588
WQFF	30.44	100,251
PPG	23.32	74,078
PPG (SRO)	4.92	22,922
PPG (NRO)	3.00	18,120
PPG-AZPDES (SRO)	6.00	27,665
PPG-AZPDES (NRO)	24.60	115,198
PPG-AZPDES	38.60	133,147
TOTAL	294.84	1,143,972

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.4	TASK: Regional Water Quality Management Planning Carry out state and federal statutory and rules requirements of regional Water Quality Management Planning Program (CWA 208 Program). DELIVERABLES:		
604(b) X	1) WQM Plan Amendments a) Technical assistance to regional planning agencies and regulated community b) Review and process amendments	T = As needed	Surface Water
604(b) X	2) 208 Consistency Reviews a) Technical assistance to regional planning agencies and regulated community b) Determine 208 conformance	T = As needed	Surface Water
PPG	3) Revise Continuing Planning Process Document	T = 6/08	Surface Water
PPG	4) Develop GIS covers for planning and service areas.	T = 9/07	Surface Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables on-target except for #4: GIS covers still under development; new target is December 2007. 2ND QTR: All deliverables on-target except for #4: GIS covers still under development; new target is April, 2008. 3RD Qtr: All deliverables are on-target.			

Note: 40% of the total 604(b) funding is passed through in contracts to designated planning agencies.

FTE FUNDING SOURCE	MONTHS	AMOUNT
PPG	20.00	57,748
604(B) X	10.00	35,045
TOTAL	30.00	92,793

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.5	TASK: Surface Water Program Development, Rules and Standards Perform program development support activities for surface water program including development of water quality standards, including implementation procedures, under Section 303 of the Clean Water Act, other rule development activities and program policies/procedures. DELIVERABLES:		
PPG	1) Complete triennial review of surface water quality standards. a) Submit rule proposal to EPA b) File final rule with Secretary of State and complete triennial review of surface water quality standards at state level.	T = a) 5/07 b) 12/07	Surface Water
NPS VIII NPS IX	2) Adopt narrative standards and implementation procedures as part of Triennial Review process for: a) Narrative nutrients in lakes and reservoirs b) Narrative bottom deposits c) Narrative biocriterion. d) Antidegradation	T = 12/07	Surface Water
	3) Develop narrative nutrient criteria workplan for Arizona for streams and rivers.	T = 6/08	Surface Water
	4) Complete revisions to Impaired Waters Identification Rule a) Proposed rules to EPA b) File final rule with Secretary of State	T = a) 5/07 b) 12/07	Surface Water

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.5	TASK: Surface Water Program Development, Rules and Standards (Cont'd) DELIVERABLES:		
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If “Off Target”, please explain why.			
1ST QTR: Deliverables #1a and b; off-target; currently drafting Notice of Proposed Rulemaking. New target is October, 2007. Deliverables #2a-d; off-target; continuing to work on sections of narrative nutrient IP and narrative biocriteria IP that relate to implementation through NPDES permits; continuing stakeholder meetings to discuss IPs = (Bottom deposits and biocriteria on September 20, 2007 antidegradation IPs on September 27, 2007). Deliverable #3; on-target. Deliverable #4; off-target; IWIR draft rule and preamble submitted for management review on June 21, 2007. Awaiting management approval to propose rules.			
2ND QTR: Deliverables 1(a-b); off target. Drafting Notice of Proposed Rulemaking. Currently revising economic impact summary and making final revisions to preamble. Deliverables #2(a-d); off target. Final draft implementation rules and associated guidance documents complete. Final adoption of narrative standards and IP documents dependent on TR schedule. Deliverable #3; on-target. Deliverable #4; off-target. IWIR still under management review.			
3RD Qtr: Deliverable #1(a); Notice of Proposed Rulemaking published in Arizona Administrative Register on April 4, 2008. Oral proceedings scheduled for May 13 th and 19 th , 2008, to take public comments on proposed revisions to Water Quality Standards. Deliverable #1(b); off-target. New target is December 2008 to complete triennial review. Deliverable #2; off-target – adoption of IP’s on same schedule as Triennial Review. New Target December 2008. Deliverable #3; on-target. Deliverable #4; off-target – rules workgroup has re-initiated IWIR revisions. New target to file proposed IWIR revisions is September 2008.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PPG	7.00	31,149
ST NPS IX	7.00	26,033
WQARF PPG	9.00	38,019
PPG	11.00	40,591
NPS VIII	4.00	13,887
NPS IX	3.00	11,974
GF/SPEC AZPDES	4.00	17,606
TOTAL	45.00	179,259

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.6	TASK: Ambient Monitoring Program Ambient monitoring program, which includes rivers and streams, lakes and reservoirs, groundwater, and fish tissue and sediment sampling for priority pollutants. DELIVERABLES:		
PPG	1) Conduct ambient stream monitoring per FY 08 sampling and analysis plan. Monitoring to include targeted and probalistic basin characterization sites and planning list sites in support of 305(b) assessment process.	T = Quarterly	Surface Water/SRO
	2) Prepare FY 09 sampling and analysis plan for rivers and streams	T = 5/08	Surface Water
106 Mon.	3) Conduct ambient lake monitoring per FY 08 sampling and analysis plan. Monitoring to include watershed characterization and planning list sites in support of 305(b) water quality assessment process.	T =Quarterly	Surface Water
NPS VIII	4) Prepare FY 09 sampling and analysis plan for lakes and reservoirs	T =5/08	Surface Water
NPS IX	5) Conduct fish tissue and sediment sampling program on Arizona lakes and reservoirs for presence of mercury, pesticides or other PBTs to support TMDL and fish consumption advisory programs.	T = 6/08	Surface Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All Deliverables on-target. 2ND QTR: All Deliverables on-target 3RD Qtr: All deliverables are on target.			

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.6	TASK: Ambient Monitoring Program (Cont'd) DELIVERABLES:		

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	12.00	51,789
ST NPS IX	20.00	69,376
WQARF PPG	21.00	69,437
WQARF NPS VIII	27.00	94,385
PPG	8.50	30,863
NPS VIII	2.50	8,090
NPS IX	3.00	9,708
106 Monitoring	6.00	21,272
TOTAL	100.00	354,920

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.7	TASK: 106 Monitoring Monitoring Initiative (MI) program for implementation of AZ approved monitoring strategy, including National Lakes Survey. DELIVERABLES:		
106 Mon	1) Support monitoring personnel	T = 6/08	Surface Water
106 Mon	2) Midge Identification a) Submit samples to lab for Taxonomic ID of approximately 300 archived midge samples to obtain genus level of identification b) Receive final report on lab identification and begin IBI refinement work.	T = a) 4/07 A = 7/07 b) 12/07 A = 12/07	Surface Water
106 Mon	3) Monitoring Program Support a) Provide training for staff Lakes survey and REMAP b) Purchase field and monitoring equipment	T = a) 5/07 A = 4/07 b) Ongoing thru 6/30/08	Surface Water
106 Mon	4) Wetland Remote Sensing Project a) Contract and begin development b) Update “azwetlands” cover based on current wetland information and develop AZ classifications.	T = a) 6/08 b) 6/08	Surface Water
106 Mon	5) Public Data Access a) Make groundwater quality data available to public via portal.	T = a) 11/07	Surface Water
106 Mon	6) Develop Research Plan to add second biological assemblage to stream program. a) Contract to analyze diatom data from Santa Cruz Pilot Project b) Develop algae as 2 nd biological assemblage.	T = a. 12/07 A = 4/07 b. 06/08	Surface Water
106 Mon	7) Develop research plan to add biological assemblage for the lakes program. a) Determine appropriate 2 nd biological assemblage	T = a) 06/08	Surface Water
106 Mon	8) Update EDAS Biocriteria Database a) Identify upgrade needs b) Contract for services	T = a) 7/07 A = 7/07 b) 12/07 A = 12/07	Surface Water
106 Mon	9) Task Physical Integrity a) Inventory bank height ratio, width depth ratio and Bank Erosion Hazard Index (BEHI) data.	T = a) 6/08	Surface Water

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.7	TASK: 106 Monitoring (Cont'd. DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.</p> <p>1ST QTR: All deliverables are on-target. Deliverable #2(a); Midge samples could not be shipped and processed until 106 Grant was awarded in June 2007. Note: Geomorphology training was completed as part of the 106 grant through Natural Channel Design (Tom Moody) in October 2007. This task has an end date of 2009. More training is expected to follow.</p> <p>2ND QTR: Deliverable #5 is off-target due to problems with data transfer process through ADEQ's web services system that is close to resolution. Groundwater quality data will be available via "arizonawells.org system" developed at UA by June 30, 2008 (hopefully much sooner). All other deliverables are on-target.</p> <p>3RD Qtr: Deliverable #5 is off-target due to problems with data transfer process through ADEQ's web services system that is close to resolution. Groundwater quality data will be available via "arizonawells.org system" developed at UA by June 30, 2008 (hopefully much sooner). All other deliverables are on-target.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST NPS IX	6.00	25,834
106 Monitoring	6.00	21,272
TOTAL	12.00	47,106

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.8	TASK: Water Quality Assessment Development of water quality assessment documents (e.g. 305(b), 205(j) and processes). DELIVERABLES:		
PPG NPS VIII	1) Submit Arizona's Integrated 305(b) Assessment and 303(d) Listing report to EPA.	T = 4/08	Surface Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: Deliverable #1 on-target; Notice of Public Information containing final 303(d) list and responsiveness summary submitted for management review on October 5, 2007. If approved, NPI will be published in Arizona Administrative Register. Publication in AAR initiates a 45 day period for challenges to 303(d) listings. New Target for submittal of IR and 303(d) list is December 31, 2007. 2ND QTR: Deliverable #1; on-target. Notice of Public Information submitted October 05, 2007 under management review. ADEQ will consolidate 2006/2008 Integrated Report. Expected date for submittal to EPA is May 2008. 3RD Qtr: Deliverable #1; on-target. Notice of Public Information submitted October 05, 2007 under management review. ADEQ will consolidate 2006/2008 Integrated Report. Expected date for submittal to EPA is May 2008. 3RD Qtr: Deliverable #1; off-target – notice of Public information still under upper management review. New target for submittal is June 2008. Integrated Report to EPA new target July, 2008.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	3.00	6,880
ST PPG	2.84	13,301
WQARF NPS VIII	4.00	17,397
WQARF PPG	2.16	10,116
PPG	23.00	82,222
NPS VIII	8.00	27,775
TOTAL	43.00	157,691

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.9	TASK: TMDL Analyses Oversee Total Maximum Daily load (TMDL) efforts and conduct TMDLs and related analyses. DELIVERABLES:		
NPS VIII/ NPS IX	1) TMDL projects in modeling phase a) Pinto Creek – headwaters to Ripper Spring (Cu); and Pinto Creek - Ripper Spring to Roosevelt Lake (Cu) i) Submit TMDL report to EPA for approval b) Mule Gulch – headwaters to Above Lavendar Pit (Cd, Cu, pH, Zn); and Mule Gulch - Above Lavendar Pit to WWTP Bisbee (Cu, Cd, pH, Zn); and Mule Gulch - WWTP Bisbee to Highway 80 Bridge (Cu, Cd, pH, Zn) i) Collect background samples ii) Propose site specific standard iii) Complete TMDL modeling based on site specific standard	T = i) 12/07 i) thru summer 07 ii) 12/07 iii) 06/08	Surface Water
Queen Creek Lake Mary	2) 104(b)3 Grants a) Queen Creek headwaters to Superior WWTP (Cu) and Superior WWTP to Potts Canyon (Cu) i) Submit TMDL to EPA for approval b) Lake Mary Regional TMDL (Hg) i) Submit TMDL to EPA for approval. c) Alamo Lake TMDL (Hg) i) Revise TMDL to include Phelps Dodge WLA ii) Open public comment period iii) Resubmit TMDL to EPA for approval (FY03-04 Mercury TMDL grant closed)	T = ai) 10/07 bi) 10/07 ci) 12/06 A = 12/06 cii) 6/07 ciii) 9/07	Surface Water

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.9	TASK: TMDL Analyses (Cont'd) DELIVERABLES:		
NPS VIII	3) TMDL projects in monitoring phase a) Oak Creek – Headwaters to Spring Creek (E. coli) i) Continue sampling through summer 07 ii) Submit TMDL report to EPA for approval b) Parker Canyon Lake (Hg) i) Continue sampling through summer 07 ii) Submit TMDL report to EPA for approval iii) Continue sampling throughout fiscal year c) Gila River – Bonita Creek to Yuma Wash (E. coli) i) Continue sampling throughout fiscal year d) Gila River – Skully Creek to San Francisco River (Se) i) Continue sampling throughout fiscal year e) Lyman Lake (Hg) i) Continue sampling throughout fiscal year f) Watson lake (nitrogen, low DO, high pH) i) Continue sampling throughout fiscal year g) Crescent Lake (high pH) i) Continue sampling throughout fiscal year h) Little Colorado River – Silver Creek to Carr Wash (E. coli) i) Continue sampling throughout fiscal year i) Little Colorado River – Porter Tank to McDonalds Wash (Cu, Ag, SSC) i) Continue sampling throughout fiscal year j) San Pedro – Aravaipa Creek to Gila River (E. coli, Se) i) Continue sampling throughout fiscal year k) Santa Cruz River – Mexico Border to Nogales (E. coli) i) Continue sampling throughout fiscal year l) East Verde River – American Gulch to Verde River (As, B) i) Continue sampling throughout fiscal year	T = i) 9/07 ii) 6/08 i) 9/07 A = 9/07 ii) 6/08 i) Ongoing i) Ongoing i) Ongoing i) Ongoing i) Ongoing i) Ongoing i) Ongoing i) Ongoing i) ongoing i) Ongoing i) Ongoing i) Ongoing	Surface Water

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.9	TASK: TMDL Analyses (Cont'd) DELIVERABLES:		
PPG	4) Pre-TMDL activities for: a) Gila River – Centennial Wash to Gillespie Dam (B, Se) i) Develop SAP ii) Initiate sampling b) Mineral Creek – Devils Canyon to Gila River (Se, Low DO) i) Develop SAP ii) Initiate sampling c) Gila River – New Mexico border to Bitter Creek (E. coli, SSC) i) Develop SAP ii) Initiate sampling d) Alvord Park Lake (Ammonia) i) Develop SAP ii) Initiate sampling e) Chaparral Lake (low DO, E. coli) i) Develop SAP ii) Initiate sampling f) Cortex Park Lake (low DO and high pH) i) Develop SAP ii) Initiate sampling	T = i) 12/07 ii) throughout FY i) 10/07 ii) throughout FY i) 10/07 A = 10/07 ii) throughout FY i) 12/07 ii) throughout FY i) 2/08 ii) throughout FY i) 2/08 ii) throughout FY	Surface Water
NPS IX	5) Long-term TMDL projects a) Verde River – headwaters to Horseshoe Reservoir (N,P) i) Implementation workplan b) Gila pesticide investigation – numerous segments (numerous parameters) i) Develop SAP ii) Initiate sampling c) Mercury – Air equipment monitoring support i) Maintain Sycamore Canyon MDN site ii) Coordinate with EPA deployment of EPA’s mobile TEKRA unit across state	T = i) throughout FY i) 12/07 ii) 2/08 i) throughout FY ii) as available	Surface Water

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.9	TASK: TMDL Analyses (Cont'd) DELIVERABLES:		
PPG	6) Targeted monitoring activities a) Lynx Creek area i) Sample collection to support USFS and EPA remediation activities b) Sonoita Creek – 750 ft. below Patagonia WWTP to Santa Cruz River (Zn, low DO) i) Targeted sampling to determine if impairment results solely from Alum Gulch input c) Cave Creek – headwaters to South Fork Cave Creek (Se) i) Collect samples to support future delisting	T = i) throughout FY i) throughout FY i) throughout FY	Surface Water
	7) Hold teleconferences with EPA Region IX TMDL staff.	T = Monthly	Surface Water
	8) Continue coordination with Federal land management agencies on 303(d) listed waters.	T = Ongoing	Surface Water
	9) Continue coordinating implementation efforts on impaired waters with TMDL implementation plans.	T = ongoing	Surface Water
	10) Attend Watershed Group meetings.	T = ongoing	Surface Water

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.9	TASK: TMDL Analyses (Cont'd) DELIVERABLES:		
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If “Off Target”, please explain why.			
1ST QTR: Deliverable #2ciii off-target; waiting for Triennial Review process to be completed. Still researching natural background conditions. All other deliverables are on-target.			
2ND QTR: Deliverable 1a: on-target. Deliverable #1ai: off-target; delayed until SSS adopted in Triennial Review process, likely FY09. Deliverable #1(b and bi): on-target. Deliverable #1(bii): off-target; summer sampling was successful. Compiling data for contractor. Deliverable #1(biii): on-target. Deliverable #2(ai): off-target; waiting for lab data to compile for modeling. Deliverable #2(bi): off-target; refining natural background number. Fish tissue standard part of Triennial Review. Deliverable #2(c-i) is on target. Deliverable #2(c-ii) is off target: comments received from Phelps Dodge are under evaluation. Deliverable #2(ciii): off-target; refining natural background numbers. Fish tissue standard included in Triennial Review. Deliverable #3(ai): off-target; sample through winter. New target date of July 2008. All other deliverables for #3 are on target or complete. Deliverable #4(ai): off-target; new staff to develop. Deliverable #4(aai): on target. Deliverable #4(bi): off-target; delayed until consent decree resolved. Deliverable #4(ci): completed. Deliverable #4(cii): on-target. Deliverable #4(d-f): off-target due to staff vacancy. Deliverable #5(ai): on-target. Deliverable #5(bi): off-target due to work load resources. Deliverable #5(c): on-target. Deliverable #6-10: on-target.			
3RD Qtr: Deliverable #1(ai): off-target; delayed until SSS adopted in Triennial Review process, likely FY09. Deliverable #1(bii): off-target; summer sampling was successful. Compiling data for contractor. Deliverable #1(biii): off-target; modeling contract selected, on FY09 workplan. Deliverable #2(a-c): off-target; part of Triennial Review; on FY09 workplan. Deliverable #3(aii and bii): off-target; part of Triennial Review on workplan FY09. Deliverable #3(c-l); ongoing. Deliverable #4(a): off-target; -on FY09 workplan. Deliverables #4bi) is off-target; delayed until consent decree resolved. Deliverable 4c); is on-target. Deliverable #4(di, ei and fi); off –target new staff developing SAP. Deliverable #5(bi): off-target due to work load resources. Deliverable #5(bii): off-target; delayed due to other storm event sampling activities. Deliverable #5(c): on-target. Deliverables #6-10: on-target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	12.00	44,855
ST NPS IX	21.58	85,386
WQARF NPS VIII	9.00	31,394
QUEEN CREEK TMDL	4.00	16,053
PPG	26.00	91,933
NPS VIII	19.00	70,504
NPS IX	28.44	109,334
TOTAL	120.02	449,459

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.10	TASK: TMDL Implementation Plan Develop TMDL implementation plans in support of approved TMDL projects. DELIVERABLES:		
NPS VIII	1) Develop TMDL implementation plans a) Pinto Creek TMDL i) Final TMDL implementation plan b) Queen Creek TMDL i) Final TMDL implementation plan c) Mule Gulch TMDL i) Final TMDL implementation plan d) Oak Creek TMDL i) Draft TMDL implementation plan ii) Final TMDL implementation plan e) Parker Canyon TMDL i) Draft TMDL implementation plan ii) Final TMDL implementation plan	T = i) 12/07 i) 10/07 i) 6/08 i) 4/08 ii) 6/08 i) 3/08 ii) 4/08	Surface Water
NPS IX	2) Conduct effectiveness Monitoring where improvements have been implemented. a) Turkey Creek – Golden Belt/Golden Turkey remediation b) Alum gulch – World's Fair and Humbolt mine remediation; January adit wetland c) Pinto Creek – Gibson Mine remediation d) Hassayampa River – McClellan Mine remediation e) Boulder Creek – Hillside Mine remediation f) Rainbow Lake – dredging and buffer trip	T = a) throughout FY b) throughout FY c) throughout FY d) throughout FY e) throughout FY f) throughout FY	Surface Water
	3) Coordinate with federal land management agencies on 303(d) listed waters.	T = throughout FY	Surface Water

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.10	TASK: TMDL Implementation Plan (Cont'd) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.)</p> <p>1ST QTR: All deliverables are on-target. Refer to following page for narrative.</p> <p>2ND QTR: Deliverable #1(ai); off-target. New staff developing. Deliverable #1(bi); off-target. Awaiting modeling results. All remaining deliverables are on-target.</p> <p>3RD Qtr: Deliverable # 1(a-e); off-target; awaiting modeling results. Deliverables #2 and #3 are on-target.</p>			

* NOTE: At EPA's request, a separate quarterly update for performance measure SP-12 follows.

FTE FUNDING SOURCE	MONTHS	AMOUNT
NPS VIII	13.50	48,566
NPS IX	6.00	20,750
ST NPS IX	4.50	18,791
TOTAL	24.00	88,107

FY08 3RD QUARTER EXCEPTION REPORT

Performance Measure SP-12 (W) Updates

FY 08 3rd Quarter

April 9, 2008

Turkey Creek- Results from the previous sampling events are not yet available.

Pinto Creek- Another storm sample was collected on January 28, 2008. Results are consistent with earlier post remediation samples. A site visit was conducted on 3/14/08. Evidence of ongoing copper leaching from disturbed soil was observed.

Alum Gulch- No samples, post remediation, have been collected.

Boulder Creek- Site visit with several stakeholders and Phelps Dodge representatives was conducted on Jan 17, 2008. PD remediation specialist briefed group on what they would do with the site if it belonged to PD. His recommendations would be to relocate material out of floodplain, cap material with run-on/run-off controls. Bureau of Reclamation has the staff available to engineer the project. Talks continue with the ADEQ Waste program to determine if PD can take the tailings material without it being classified as a hazardous waste.

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.11	TASK: NPS Base Program Management Plan, manage and implement a Nonpoint Source Pollution Program, including the development of watershed management and watershed-based plans. DELIVERABLES:		
NPS VIII NPS IX	1) Continue to oversee UA contract to develop watershed-based plans, incorporating EPA's nine key elements for the following watersheds: a) Submit draft and final watershed based plans for: i) Salt River ii) Santa Cruz iii) Middle Gila	T = 06/08 & 06/09 a) FY 09	Planning
NPS IX	2) Provide technical support to community-based watershed groups to address NPS pollutant impacts.	T = As needed	Planning
NPS IX	3) Provide oversight of existing MOUs with USFS, BLM, ADWR, AGFD, and various tribes. Update agreements as needed.	T = Annual meetings (as required) FY08 & FY 09	Planning
NPS IX	4) Submit annual NPS report in accordance with EPA's annual reporting requirements outlined in <i>NPS Program and Grants Guidelines for States & Territories</i> .	T = 09/07 & 09/08 A = 10/07	Planning
NPS IX	5) Attend national nonpoint source annual meeting.	T = 06/08 & 06/09	Planning
PPG	6) Conduct education/outreach efforts to increase public awareness of NPS impacts to surface and groundwater resources. a) Provide oversight on 319(h) funded NPS education and outreach contracts. b) Support requests from the community and local schools for water education.	T = Ongoing	Planning
NPS VII	7) Provide CWAP (Clean Water Action Plan) report to EPA on project status	T = Semi-annually	Planning Surface Water

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.11	TASK: NPS Base Program Management (Cont'd) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.)</p> <p>1ST QTR: Task # 1-3 are on target. Task # 4 is off target; NPS report has been completed but is in the review process. Report will be sent to EPA once approved and assigned a publication number. Tasks # 5- 6 are on target. Task # 7 reporting has been merged into the NPS Annual report, now combined with Task # 4.</p> <p>2ND QTR: All deliverables are on target.</p> <p>3RD Qtr: All deliverables are on target.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
NPS VIII	10.00	38,718
NPS IX	13.00	56,022
PPG	4.00	13,408
TOTAL	27.00	108,148

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.12	TASK: 319(h) Project Management ADEQ will ensure that Federal dollars available for Section 319(h) projects will be targeted to water quality impairments resulting from NPS pollution. Educational opportunities, such as workshops and training, will continue to be pursued. DELIVERABLES:		
NPS VIII	1) Solicit, evaluate and select 319 grant applications. a) Develop and conduct grant workshops. b) Target watersheds and/or nonpoint sectors (e.g. impaired watersheds, watersheds with TMDL implementation plans, watershed based plans). c) Develop and execute 319(h) grant agreements..	T = 1 (Annual) a) A = 12/07 b) Ongoing c) T = 6/08	Planning
NPS VIII	2) Provide oversight on 319(h) funded implementation projects for correction of NPS impairments.	T = Ongoing	Planning
	3) Maintain and update library of final 319(h) project reports.	T = Ongoing	Planning
NPS VIII	4) Report project activities and input load reduction information into GRTS database.	T = Ongoing	Planning
NPS VIII	5) Attend annual GRTS meeting and regional GRTS training.	T = 09/07 A = 10/07	Planning
NPS IX	6) Coordinate annual meeting to set internal goals for 319(h) projects. Provide report to EPA.	T = Annually, FY 08 & FY 09	Planning
NPS IX	7) Coordinate and conduct grant award meetings to assure compliance with state and federal guidelines.	T = Ongoing	Planning
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: Tasks # 1 -2, 4-7 are on target. Task # 3: A filing method has been established and reports will be organized accordingly. 2ND QTR: All deliverables are on target. Del. #1a is complete; workshops were conducted by December '07. 3RD Qtr: All deliverables are on target.			

*Grant schedule under development.

FTE FUNDING SOURCE	MONTHS	AMOUNT
NPS VIII	11.00	38,137
NPS IX	26.00	93,724
TOTAL	37.00	131,861

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.13	TASK: REMAP Development of an Arizona perennial streams map, comparative study of EMAP and ADEQ biological monitoring and assessment methods, and development of an example EMAP-style 305(b) watershed assessment. DELIVERABLES:		
REMAP	1) Phase I: Develop/update AZ perennial stream map. a) Draft GIS map and database for Colorado Plateau hydrophysiographic province. b) Draft statewide GIS map and database for all 3 hydrophysiographic provinces. c) Conduct surveys of land and resource managers and load data into Flow Status Database. d) Finalize GIS map and database e) Annual progress report to USEPA	a) T = 7/06 A = 8/15/06 b) T = 9/06 A = 12/06 c) T = 10/06 A = 3/07 d) T = 10/06 A = 5/12/07 e) T = 10/06 A = 3/30/07	Surface Water
REMAP	2) Phase II: Conduct probabilistic monitoring of streams in the Little Colorado R. basin using ADEQ and REMAP methods. a) USEPA random site selection b) ADEQ site review/reconnaissance c) EMAP methods training for ADEQ, AGFD and USGS staff. d) EMAP and ADEQ biological monitoring of 35 sites in the Little Colorado R. basin e) Data report submitted to USEPA f) Annual progress report to USEPA	a) T = 8/06 A = 9/07/06 b) T = 9/06 A = 10/06 c) T = 3/07 A = 3/07 d) T = 6/07 A = 6/07 e) T = 9/07 f) T = 10/07 A = 1/08	Surface Water

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.13	<p>TASK: REMAP</p> <p>Development of an Arizona perennial streams map, comparative study of EMAP and ADEQ biological monitoring and assessment methods, and development of an example EMAP-style 305(b) watershed assessment.</p> <p>DELIVERABLES:</p>		
REMAP PPG	<p>3) Phase II extension: Methods Comparison Study and EMAP Watershed Assessment Report. *</p> <p>a) Compare the performance characteristics of EMAP and ADEQ macroinvertebrate metrics and IBIs to determine if datasets are suitable for combining and to determine if they produce similar assessments of site conditions..</p> <p>i) Data entry of EMAP and ADEQ macroinvertebrate and habitat data into EDAS database</p> <p>ii) Conduct statistical analyses</p> <p>iii) Produce draft technical report on methods comparison</p> <p>iv) Produce final technical report</p> <p>v) Annual progress report to USEPA</p> <p>vi) Attendance/Presentation at North American Benthological Society annual conference, EMAP conference, and National Water Quality Monitoring Council meetings</p> <p>b) Produce an EMAP bioassessment for the Little Colorado River watershed.</p> <p>i) Data entry of fish and algae community data and fish tissue data into EDAS database; water chemistry into WQDB</p> <p>ii) Conduct statistical analyses</p> <p>iii) Produce technical report; EMAP assessment for the Little Colorado River basin</p> <p>iv) Produce final technical report</p> <p>v) Annual progress report to USEPA</p>	<p>i) T = 3/08 A = 3/08</p> <p>ii) T = 6/08</p> <p>iii) T = 9/08</p> <p>iv) T = 6/09</p> <p>v) T = 12/08</p> <p>vi) T = 6/09</p> <p>i) T 6/08</p> <p>ii) T = 10/08</p> <p>iii) T = 12/08</p> <p>iv) T = 6/09</p> <p>v) T = 12/08</p>	Surface Water

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.13	TASK: REMAP (Cont'd) DELIVERABLES:		

EXCEPTION REPORT COMMENTS

(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.)

1ST QTR:
 Deliverable #1(a-c); Phase I completed. Deliverable #2(a-d) completed. Deliverable #2(e) on-target; EPA scanned REMAP data into their SWIM database on October 09, 2007. Deliverable #3 on-target; EPA approved Phase II contract extension October 2007.

2ND QTR:
 Deliverable #1; completed. Deliverable #2(a-d); completed. Deliverable #2(e): off-target; macroinvertebrate data not yet received from Ecoanalysts. Projected to have data by February 2008 and data report submitted to EPA by March 2008. Data package will include all data elements collected under Phase II. Deliverable #2(f): off-target; projected to be completed in January 2008. Deliverable #3; on-target.

3RD Qtr:
 Deliverable #2(e); off-target, macroinvertebrate data received from Ecoanalysts in March, 2008. Data report will be submitted to EPA in 4th Quarter. Deliverable #2(f); annual progress report submitted to EPA January 30, 2008. Deliverable #3(a)(i); on-target – data entry into EDAS accomplished in March, 2008. Deliverables 3(a)(ii) – (avi); on target. Deliverables #3(b)(i-v); on-target.

FTE FUNDING SOURCE	MONTHS	AMOUNT
PPG	7.00	28,728
REMAP	4.00	12,944
TOTAL	11.00	41,672

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #s 4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.1	TASK: Division & Section Management Manage Water Quality Division and Section programs. Perform managerial, administrative, database development and other program duties. Advise management team and provide guidance and training to staff. Design and maintain security and business continuity plans. Provide staff support and communications to public interest/stakeholder involvement efforts. Provide program oversight and guidance to regional staff performing tasks under the integrated workplan DELIVERABLES:		
PPG	1) Reports: a) Section FY08 Exception Report to WQ Planning Section. Due 15 days after end of the 1 st , 2 nd , and 3 rd state FY quarters. b) Section FY07 annual Output Report to WQ Planning. Section. Due 15 days after end of fiscal year. c) Section Performance Measures Report to WQ Planning Section. Due 11 days after end of quarter.	T = a) Quarterly b) Annually A = 8/07 c) Quarterly	All Sections
PPG	2) EPA/ADEQ grant meetings: a) End of year review for FY 2007 b) Mid-year review for FY 2008 c) EPA negotiation meetings for FY 2009	T = a) 09/07 A = 9/07 b) 02/08 A = 2/08 c) 03/08 A = 4/02/08	All sections
PPG	3) Support studies on water quality improvements under the Technology and Research Initiative Fund (TRIF) grant program administered by the University of Arizona and Arizona Water Institute, respectively.	T = Annually	Director

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #s 4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.1	TASK: Division & Section Management (Cont'd) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.)</p> <p>1ST QTR: All deliverables are on target.</p> <p>2ND QTR: All deliverables are on target.</p> <p>3RD Qtr: All deliverables are on target.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PWSS	11.66	40,320
GF	68.10	386,460
GF-APP	7.56	25,303
ST PPG	4.36	26,157
PPG	23.10	80,627
WQFF	7.44	17,218
ST NPS IX	11.38	50,651
TOTAL	133.60	626,736

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #s 4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.2	TASK: WQD Rule Development and Implementation Develop and update Arizona’s drinking water and water quality rules. DELIVERABLES:		
PWSS Fed	1) Safe Drinking Water: Incorporation by reference rule (18 A.A.C.4) a) File proposed rule with Secretary of State b) File draft final rule with GRRC c) Submit final primacy package to EPA	T = a) 7/07 b) 10/07 c) 12/07	Director
PWSS Fed	2) Monitoring Assistance Program Rule (18 A.A.C.4) a) File proposed rule with Secretary of State b) File draft final rule with GRRC	T = a) 8/07 b) 11/07	Director
	3) Biosolids Rule (18 A.A.C. 9, Art. 10) a) File proposed rule with Secretary of State b) File draft final rule with GRRC	T = a) 7/07 b) 10/07	Director
	4) Surface water quality standards. (18 A.A.C.11, Art. 1) a) File proposed rule with Secretary of State b) File draft final rule with GRRC	T = a) 6/07 b) 9/07	Director
	5) Aquifer Water Quality Standards (18 A.A.C.11, Art. 4) a) File proposed rule with Secretary of State b) File draft final rule with GRRC	T = a) 5/07 b) 8/07	Director
	6) Impaired Water Identification (18 A.A.C.11, Art. 6) a) File proposed rule with Secretary of State b) File draft final rule with GRRC	T = a) 9/07 b) 12/07	Director
	7) Public Water System Design Review Fee rule (18 A.A.C.14, Art. 2) a) File proposed rule with Secretary of State b) File draft final rule with GRRC	T = a) 10/07 b) 12/07	Director
	8) Develop new delegation agreements in conjunction with Office of Administrative Counsel. Provide support to delegated agencies, as needed, including development of workplans, training, etc.	T = As needed	Director

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #s 4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.2	TASK: WQD Rule Development and Implementation (Cont'd) DELIVERABLES:		
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If “Off Target”, please explain why.			
1ST QTR: Deliverables 1-3, 5 & 7 are off target; the process is taking longer than anticipated, but we hope to catch up during the second quarter. Deliverable #8 is on target. Deliverable #4 & 6: off-target; IWIR draft rule and preamble submitted for management review on June 21, 2007. Awaiting management approval to propose rules.			
2ND QTR: Deliverable: Off Target; the notice of proposed rulemaking will be filed on January 25th. Deliverable 2: Off Target; this rule is being reconsidered in light of new data that indicates the scope of the problem may be more limited than originally anticipated. Deliverable 3: Off Target; internal meetings for this rule will recommence in February. Deliverable 4: Off Target; an updated draft of the proposed rulemaking is in management review and should be proposed in early February. Deliverable 5: Off Target; a draft of the proposed rulemaking is expected to be completed by April. Deliverable 6: Off Target; the Department is rewriting and reorganizing this rulemaking in order to streamline and clarify the rule language. Deliverable 7: Off Target; a draft of the rule has been reviewed by management. Stakeholder outreach to begin in early February. Deliverable 8: On Target; the Department is finalizing the remaining delegation agreements with local government delegates (“Local Authorities”). The Department is developing a comprehensive rules-based training program for the Local Authorities and will begin training in March. Workplan development is also ongoing.			
3RD Qtr: Deliverable 1: Off Target; the notice of proposed rulemaking was filed, and the final rule will be submitted to GRRC in time to be placed on the June 2008 agenda. Deliverable 2: Off Target; decision on whether to proceed with rule is pending. Deliverable 3: Off Target; internal meetings to determine scope of rule proceeding. Deliverable 4: Off Target; proposed rule has been published, and public comment hearings are scheduled for May 2008. Deliverable 5: Off Target; draft rule is completed; meetings are being scheduled to discuss issues with stakeholders. Deliverable 6: Off Target; the Department is rewriting and reorganizing this rulemaking in order to streamline and clarify the rule language. Deliverable 7: Off Target; preliminary public outreach is nearing completion, after which proposed rule will be published. Deliverable 8: On Target; the Department is finalizing the remaining delegation agreements with local government delegates (“Local Authorities”). The Department is developing a comprehensive rules-based training program for the Local Authorities and will begin training in March. Workplan development is also ongoing.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	31.44	141,213
PWSS Federal	16.56	67,892
ST PPG	6.00	26,867
WQFF	12.00	51,000
TOTAL	66.00	286,972

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #s 4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.3	TASK: Water Quality Planning Coordinate the development and amendment of annual water quality workplans and grants. Plan, control and monitor expenditures of manpower and state/federal financial resources. Manage business functions, including Division contracting activities. DELIVERABLES:		
PPG	1) Develop annual FY 09 Integrated Water Quality Division workplan and submit to EPA.	T = Draft 03/08 A = 3/08 Final 06/08	Planning
PPG	2) Coordinate development of performance reports a) FY 08 Exception report to EPA quarterly: b) FY 07 Output report to EPA annually: c) Strategic Performance Measures quarterly: d) Annual report for ADEQ set-asides (Submit to WIFA and EPA.)	T= a) Quarterly b) 08/07 A = 8/07 c) Quarterly d) 09/07	Planning
	3) WQ Planning documents a) Distribute budget and workplan books for FY 08 start-up. b) Finalize spreadsheets for FY 09startup.	T = a) 07/07 A = 7/07 b) 05/08 A = 5/08	Planning
	4) Coordinate with EPA the destruction of the official Construction Grant files as they are returned from State Retention Center.	T = As required	Planning
PPG	5) Report number of cumulative federal grant actions: a) Grant applications/awards: i) New ii) Supplemental funding iii) Awards received b) Change Letters i) Time extensions ii) Rebudgets i) Workplan revisions	T = Quarterly	Planning

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #s 4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.3	TASK: Water Quality Planning (Cont'd) DELIVERABLES:		
	6) Report competitive grant actions a) Grants funding researched i) Proposals submitted ii) Proposals requested	T = Quarterly	Planning
PPG	7) Develop/modify Accord Agreement.	T = As needed	Planning
	8) Submit WQD Master Profiles for FY 2009.	T = 06/08	Planning
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If “Off Target”, please explain why.			
1ST QTR: All deliverables are on target with the exception of deliverable #2d; we are working with the programs to develop this report.			
2ND QTR: All deliverables are on target with the exception of deliverable #2d; we are working with the programs to develop this report.			
3RD Qtr: All deliverables are on target; no activity for deliverable #4.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	37.00	144,402
PPG	68.00	227,114
TOTAL	105.00	371,516

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #'s: 4400: Underground Water Regulation	
		4500: Surface Water Regulation	
		4900: Drinking Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.4	TASK: Water Quality Database Development, Implementation & Management Develop and manage the Water Quality Database (WQDB) and Wastewater Compliance & Enforcement Tracking (WCET) Oracle system and other program specific applications to support data storage, access, retrievals, analysis (e.g. hydrologic modeling, GIS, statistical), integration and sharing. IT technical support for Oracle database applications and E-Government systems maintenance and development tasks will be available from the Information Technology Section (ITS) in the Administrative Services Division. DELIVERABLES:		
	1) Continue to develop necessary interfaces to accept data submittal from outside agencies, volunteer monitoring groups, SRP, USGS and load directly into surface water and groundwater database.	T = 6/30/08	ITS (ASD) Data Mgmt.
	2) GIS projects and data analysis in coordination with water programs, waste programs, ITS and GIS Technical Committee. Projects include watershed and water quality assessments, WQARF sites, AZ Mapper (Desktop GIS tool) maintenance, WQARF, wellhead protection and special technical studies and modeling/simulations (e.g. Drought Task Force, NPS).	T = As needed	Data Mgmt.

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #'s: 4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.4	TASK: Water Quality Database Development, Implementation & Management (Cont'd) DELIVERABLES:		
PPG	3) Conduct analysis, design, programming, and implementation steps to meet new requirements for WCET database system, including uploads of minor facility data to PCS, enhancements to system needed to support compliance improvement initiative, and meeting future EPA ICIS requirements. Includes linkage of division databases with Azurite (e.g. LTF).	T = 6/30/08	ITS (ASD) Data Mgmt. Compliance
	4) Conduct analysis, design, programming, and implementation steps to meet new requirements for Permits and Certifications database system, including storm water (AZPDES), biosolids, and cafo modules. To be done in conjunction with the Groundwater and Surface Water Sections.	T = 6/30/08	ITS (ASD) Data Mgmt.
	5) Provide maintenance and development support for SDWIS/State database system. To be done in conjunction with the Drinking Water and Compliance Sections.* a) migration to SDWIS Web 2.0.	a) T = 6/30/08	Drinking Water Compliance ITS (ASD)
	6) Participate in E-Gov initiatives, including basic water quality electronic data transfers and sharing (e.g., AWI AZHIS project, Enfo-Tech project), E-DMRs (e.g., City of Phoenix, Pima County), SDW system data reporting (e.g., SAIC SDWIS module), and E-Permits (e.g., Drywell registrations).	T = 6/30/08	ITS (ASD) Data Mgmt. Compliance Drinking Water Ground Water Surface Water WQARF (WPD)
PPG	7) Conduct analysis, design, programming, and implementation steps to meet new requirements for Groundwater and Surface Water Quality database system.	T = 06/08	Data Mgmt.

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #s:	4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.4	TASK: Water Quality Database Development, Implementation & Management (Cont'd) DELIVERABLES:		
	8) Database assistance and oversight for external data entry and assist internal data entry efforts for surface water and groundwater systems. Tech support for WQARF and UST water quality data loading, and tech support for Water Quality Division use of Discoverer (Oracle-based data query tool).	T = Ongoing	ITS (ASD) Data Mgmt. Compliance Surface Water Ground Water Drinking Water Planning
	9) As required under EPA's CROMERR regulation, submit application for approval of electronic reporting systems.	T = 10/13/07	ITS (ASD) Data Mgmt.
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.			
1ST QTR: Deliverable #9: EPA changed the due date to 10/08. However, work will occur during FY 08 to prepare CROMERR proposal. All other deliverables are on target.			
2ND QTR: All deliverables on target with the exception of #6: Delays incurred with AWL AZHIS project to develop a web-based access to selected ground water and surface water quality data from ADEQ's database; difficulties in implementing ADEQ's node or web services system to automate sharing these data with ASU/UA site that will make data accessible and queriable. Deliverable # 9: EPA Office of Environmental Information adjusted the guidance for a 10/13/08 target to submit applications.			
3RD Qtr: All deliverables are on target. Deliverable #9: target adjusted to 10/13/08 to submit applications.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	17.70	88,278
ST PPG	15.44	54,992
ST PWSS (DW)	12.00	49,603
AZPDES	3.00	15,697
GF SPEC AZPDES	2.00	8,196
WQFF	4.00	14,692
WQARF	3.00	9,920
WQARF PPG (UW)	9.00	29,759
PPG-AZPDES (SW)	2.40	14,398
PPG	5.80	25,500
TOTAL	74.34	311,035

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #4: Administer comprehensive water quality program.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.5	TASK: Border Strategy Continue implementation of the Border Strategy Plan by conducting border-wide water quality studies, identifying water quality transboundary issues, and coordinating with Mexican counterparts toward the resolution of respective issues. DELIVERABLES:		
PPG	1) Support the development of wastewater pretreatment programs in the Ambos Nogales border region. Specific activities include maintaining the Memorandum of Understanding (MOU) with COAPAES via additions and/or amendments as needed; arranging training on Pretreatment sampling for the staff of COAPAES (Nogales, Sonora); conducting QA/QC on collected samples, assist with source characterization of regulated contaminants entering the Nogales (Sonora) wastewater stream, and provide support in the development of a Policies, Procedures, and Enforcement Response Plan for the Nogales (Sonora) Pretreatment Program.	T = As needed	SRO
PPG	2) Coordinate and chair meetings with binational stakeholders regarding issues and remedies to the water quality impairment of shared watersheds along the US/Mexico border including Nogales Wash in Ambos Nogales.	T = As needed	SRO
PPG	3) Support work in Nogales, Sonora to reduce sanitary sewer overflows into Nogales Wash.	T = As needed	SRO
	4) Coordinate with EPA on Border 2012 meetings and the oversight of projects funded through Border 2012.	T = As needed	SRO
PPG	5) Implement Memorandum of Understanding with the University Sonora (UNISON) to pursue joint water quality projects on binational watersheds along the border.	T = As needed	SRO

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #4: Administer comprehensive water quality program.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.5	TASK: Border Strategy (Cont'd) DELIVERABLES:		
PPG	6) Perform reconnaissance monitoring, hydrologic survey and assist in TMDL and groundwater quality investigations for sites located in the border region as assigned. Investigations may include the need to photodocument conditions, collect field notes, conduct water quality sampling and data management, and/or file preliminary reports on findings.	T = As needed	SRO
PPG	7) Submit exception and year-end reports to Water Quality Planning Section. a) Exception reports due 15 days after end of 1 st , 2 nd , and 3 rd quarters. b) Year-end Output report due 15 days after end of fiscal year.	T = a) Quarterly b) Annually	SRO

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #4: Administer comprehensive water quality program.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.5	TASK: Border Strategy (Cont'd) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.</p> <p>1ST QTR: All deliverables are on target: Attended binational pretreatment meeting (8/24) in Nogales, Sonora; supported Sonoran wastewater utility in Nogales (OOMAPAS-NS) for the purpose of facilitating US-IBWC sponsored study of non-regulated discharges to binational wastewater conveyance system; contacts made with HACH corporation to facilitate donations of laboratory equipment to OOMAPAS-NS; presented at EPA Regional Workgroup Meeting (8/21) to summarize progress on Border 2012 program and provide new direction to Water Task Force; provided background support to new Sonoran EPA Border 2012 Water Task Force co-chair; supported Friends of the Santa Cruz River Watch Volunteers with monitoring activities (7/31); supported EPA Border 2012 Ambos Nogales Biodiesel Capacity Building Project; reviewed and commented on hydrologic studies in support of BEIF infrastructure projects; annual summary of FY-06/07 activities submitted to Doug Liden (EPA) on July 23rd, 2007.</p> <p>2QTR: All deliverables are on target: supported Sonoran wastewater utility in Nogales (OOMAPAS-NS) by facilitating equipment donations from the City of Phoenix; coordinated latest 2012 Water Task Force meeting for EPA which included two presentations on behalf of ADEQ; met with Mayor of Nogales, Sonora to provide summary of Army Corp of Engineer study related to flood mitigation in Nogales Wash; supported Friends of the Santa Cruz River Watch Volunteers with monitoring activities (10/28) and also supported data entry of historical monitoring results; finalized presentation and confirmed date for next Nogales Wash Coordination Committee Meeting in January, 2008; 2nd quarterly summary submitted to Doug Liden (EPA) on January 2nd, 2008.</p> <p>3RD Qtr: All deliverables are on target as documented in weekly reports to Region IX; provided training in flow characterization to both the Sonoran wastewater utility in Nogales (OOMAPAS-NS) as well as the Agua Prieta wastewater utility (OOAPAS-AP); developed new MOU to support pretreatment work in Nogales, Sonora for consideration at the June, Arizona Mexico Commission Environment Committee Meeting; supported Friends of the Santa Cruz River Watch Volunteers with monitoring activities and data entry of historical monitoring results; coordinated January Nogales Wash Coordination Committee Meeting; compiled preliminary results of OOMAPAS-NS pretreatment oversight monitoring activities forwarded to EPA; surveyed and provided ideas to EPA for Border 2012 projects related to water and air; supported EPA 2012 Ambos Nogales Biodiesel Capacity Building Project with documentation and training; conducted periodic monitoring of chlorine residuals in Nogales Wash, and followed up with US-IBWC on low chlorine residuals; 3rd quarterly summary submitted to Doug Liden (EPA) on April 14th, 2008.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
PPG (SRO)	12.00	57,000
GF (SRO)	1.50	9,401
TOTAL	13.50	66,401

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #4: Administer comprehensive water quality program.		Program #4400: Underground Water Regulation #4500 Surface Water Regulation #4900 Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.6	TASK: Operational Technical Assistance The ADEQ will assist individual drinking water and wastewater systems to improve day-to-day operations. Provide value engineering services to WIFA projects and engineering support to Arizona border communities and to delegated counties and cities statewide. Provide technical support to the Water Quality Division. DELIVERABLES:		
IGA-CW	1) Engineering oversight for Mexican border projects; coordination with Mexican local, state and federal authorities: a) Respond to transboundary uncontrolled water flows to determine the contamination status of the water, initiate or participate in communications with local agencies and implement response actions, if necessary. b) Liaison with the IBWC, local public health agencies, state agencies and federal agencies to plan, prepare and react appropriately when transboundary water flows occur. c) Coordinate with Mexican authorities for participation in Mexican water infrastructure projects that may affect Arizona.	T = As needed	SRO
IGA-CW	2) Provide engineering services to WIFA: a) Provide project conceptual assessment for communities considering participation with WIFA. Initial site visits with project sponsors for engineering reconnaissance overview and draft feasibility assessment. b) Participate in consultant procurement including selection and contract negotiations. Provide a "third-party" opinion for consultant reviews. c) Track project progress and completion, construction outcomes and relate to design standards and regulatory issues. d) Provide regulatory guidance to WIFA project sponsors for APP and AZDPES requirements. e) Serve as a liaison for federal border water and wastewater grants.	T = As needed	SRO

FY08 3RD QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #4: Administer comprehensive water quality program.		Program #4400: Underground Water Regulation #4500 Surface Water Regulation #4900 Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.6	TASK: Operational Technical Assistance (Cont.) DELIVERABLES:		
PWSS Fees IGA-CW	3) Assist Water Quality Division with engineering technical assistance, training and outreach. a) Assist in training delegated counties and cities, regional office and Phoenix office staff on new permit requirements. b) Participate in the preparation of individual and general wastewater APP through review and guidance for engineering and hydrology aspects. Serve as a senior quality assurance quality control prior to the issuance of an APP. c) Assist in the development of statutes, rules and policy pertaining to water and wastewater permits.	T = As needed	SRO
IGA-CW	4) Submit exception and year-end reports to Water Quality Planning Section. a) Exception reports due 15 days after end of 1 st , 2 nd , and 3 rd quarters. b) Year-end Output report due 15 days after end of fiscal year.	T = a) Quarterly b) Annually	SRO
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables are on target. 2ND QTR: All deliverables are on target. 3RD Qtr: All deliverables are on target.			

Note: This task funded by Clean Water SRF Fees \$75,000 (WIFA 2008 Tech Assistance IUP) and Drinking Water fees

FTE FUNDING SOURCE	MONTHS	AMOUNT
PWSS- Fees	6.00	34,508
IGA – Clean Water (UGReg)	3.00	17,254
IGA – Clean Water (SWReg)	3.00	17,254
TOTAL	12.00	69,016